



MONTSERRAT

## CHAPTER 12.01

# TRADE IN ENDANGERED SPECIES ACT

### Revised Edition

showing the law as at 1 January 2025

This is a revised edition of the law, prepared by the Law Revision Commissioner under the authority of the Revised Edition of the Laws Act.

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Published in 2025  
On the authority and on behalf of the Government of Montserrat  
by  
The Regional Law Revision Centre Inc.

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Printed on the authority and on behalf of the Government of the Montserrat  
by  
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Authorised Printers for this Revised Edition

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**CHAPTER 12.01**  
**TRADE IN ENDANGERED SPECIES ACT**

*(Act 10 of 2016)*

AN ACT TO PROVIDE FOR THE PROTECTION AND CONSERVATION OF ENDANGERED SPECIES OF WILD PLANTS AND ANIMALS THROUGH THE REGULATION OF TRADE IN THE SPECIES AND PRODUCTS DERIVED FROM THE SPECIES.

**Commencement**

*[31 January 2022]*

**PART 1**

**PRELIMINARY**

**Short title**

1. This Act may be cited as the Trade in Endangered Species Act.

**Interpretation**

2. (1) In this Act—

“**aircraft**” has the same meaning assigned to it under section 2 of the Customs (Control and Management) Act;

“**animal**” means a living organism including a mammal, fish, bird, amphibian, reptile, uspida, arthropod or other invertebrate, but does not include a human being;

“**Appendix**” means an Appendix to the Convention as set out in the Schedule;

“**Appendix I species**” means the species listed under Appendix I—

- (a) that are threatened with extinction or may be affected by trade; and
- (b) trade in specimens of the species must be subject to particularly strict regulation and authorised only in exceptional circumstances to ensure their survival;

“**Appendix II species**” means the species listed under Appendix II—

- (a) that are not now threatened with extinction but may become threatened with extinction if trade in specimens of the species is not subject to strict regulation to avoid utilisation that is incompatible with their survival; and

- (b) other species that must be subject to regulation in order that trade in specimens of certain species referred to under paragraph (a) may be brought under effective control;

**“Appendix III species”** means the species listed under Appendix III, that a Party—

- (a) identifies as being subject to regulation in its jurisdiction for the purpose of preventing or restricting exploitation; and
- (b) needs the co-operation of other Parties in order to control trade in the species;

**“artificially propagated”** in relation to a plant, means a plant grown under controlled conditions from seeds, cuttings, divisions, callus tissues or other plant tissues, spores or other propagules that are—

- (a) exempt from the Convention; or
- (b) have been derived from cultivated parental stock;

**“authorised officer”** means a person designated as an authorised officer under section 40;

**“bred in captivity”**, in relation to an animal, means an animal born or otherwise produced in a controlled environment and applies only if—

- (a) the parents mated or gametes were otherwise transferred in a controlled environment, if reproduction is sexual, or the parents were in a controlled environment when development of the offspring began, if reproduction is asexual; and
- (b) the breeding stock, to the satisfaction of the relevant authority of the exporting country—
  - (i) was established in a manner not detrimental to the survival of the species in the wild;
  - (ii) is maintained without the introduction of specimens from the wild, except for the occasional addition of animals, eggs or gametes, in a manner not detrimental to the survival of the species in the wild as advised by the Scientific Authority—
    - (A) to prevent or alleviate deleterious breeding, with the magnitude of the addition determined by the need for new genetic material;
    - (B) to dispose of an animal forfeited or abandoned under section 49; or
    - (C) exceptionally, for use as breeding stock; and
- (iii) (A) has produced offspring of second-generation (F2) or subsequent generation (F3, F4, etc.) in a controlled environment; or

(B) is managed in a manner that has been demonstrated to be capable of reliably producing second-generation offspring in a controlled environment;

“**breeding stock**” of a breeding operation, means the ensemble of the animals in the breeding operation that are used for reproduction;

“**Chief Fisheries Officer**” means the Chief Fisheries Officer appointed under the Fisheries Act (Cap. 9.01);

“**commercial purpose**” means an activity, including the actual or intended import, export, re-export, sale, offer for sale, purchase, transfer, donation, exchange or provision of a service, that is for the purpose of obtaining an economic benefit including profit in cash or in kind;

“**Conservation and Environmental Management Act**” means the Conservation and Environmental Management Act (Cap. 12.03);

“**controlled conditions**”, in relation to plants, means a non-natural environment that is intensively manipulated by human intervention for the purpose of plant production, with characteristics that may include—

- (a) tillage;
- (b) fertilisation;
- (c) weed and pest control;
- (d) irrigation; or
- (e) nursery operations including potting, bedding or protection from the weather;

“**controlled environment**”, in relation to animals, means an environment manipulated to produce animals of a particular species that has boundaries designed to prevent animals, eggs or gametes of the species from entering or leaving the controlled environment, the general characteristics of which may include—

- (a) artificial housing;
- (b) waste removal;
- (c) health care;
- (d) protection from predators; or
- (e) artificially supplied food;

“**Convention**” means the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) and an amendment to the Convention as extended to Montserrat;

“**Customs (Control and Management) Act**” means the Customs (Control and Management) Act (Cap.17.04);

“**Department**” means the Department of Environment;

- “**Director**” means the Director of Environment referred to under section 10 of the Conservation and Environmental Management Act;
- “**export**” means to take or cause a specimen to be taken out of Montserrat or its territorial waters, but does not include the re-export of a specimen or the transshipment of a specimen through Montserrat;
- “**Guidelines for Transport and Preparation for Shipment of Live Wild Animals and Plants**” means the Guidelines for Transport and Preparation for Shipment of Live Wild Animals and Plants set out under the official website of the Convention (<http://www.cites.org>);
- “**import**” means to bring or cause a specimen to be brought into Montserrat or the territorial waters; but does not include the introduction from the sea of a specimen or the transshipment of a specimen through Montserrat;
- “**interior waters**”, in relation to Montserrat, means the waters between the baselines from which the territorial waters is measured and the mean low water mark;
- “**introduce from the sea**” means to bring into Montserrat a specimen of a species from a marine environment not under the jurisdiction of a country;
- “**marine environment**” includes the interior waters, territorial waters, seabed, subsoil beneath the seabed and airspace above the interior waters and territorial waters;
- “**mark**” means an indelible imprint, lead, seal or other suitable means of identifying a specimen that is designed to render its imitation by an unauthorised person as difficult as possible;
- “**Minister**” means the Minister responsible for the environment;
- “**Ministry**” means the department of government responsible for the environment;
- “**officer**” has the same meaning assigned to it under section 2 of the Customs (Control and Management) Act;
- “**offspring of second generation (F2) or subsequent generation (F3, F4, etc.)**” means specimens produced in a controlled environment from parents that were also produced in a controlled environment;
- “**Party**” means a country for which the Convention is in force;
- “**Planning and Development Authority**” means the Planning and Development Authority established under section 3 of the Physical Planning Act (Cap. 8.03);
- “**plant**” means an angiosperm, gymnosperm, fern or fern ally including a liverwort, moss, alga or related organism;
- “**precautionary principle**” means the rule under which pre-emptive conservation measures may be taken to avoid or minimise a threat to

a population of a species even if there is a lack of full scientific certainty as to the threat;

**“prescribed”** means prescribed under the Regulations;

**“primarily commercial purposes”** means an activity for which the non-commercial aspects do not clearly predominate as determined in accordance with the Regulations;

**“re-export”** means to export a specimen that has previously been imported;

**“Regulations”** means Regulations made under section 63 of this Act;

**“Scientific Authority”** means the Scientific Authority appointed under section 8;

**“species”** means a—

- (a) species;
- (b) subspecies; or
- (c) geographically separate population of (a) or (b);

**“specimen”** means—

- (a) an animal or plant whether dead or alive; and
- (b) a recognisable part or derivative of an animal or plant;

**“territorial waters”** has the same meaning assigned to it under the Customs (Control and Management) Act;

**“trade”** means export, import, re-export or introduce from the sea;

**“transit” or “transshipment”** has the same meaning assigned under the Customs (Control and Management) Act;

**“travelling exhibition”** includes a circus, menagerie, plant exhibition and travelling zoo;

**“Tribunal”** means the Physical Planning and Environmental (Appeals) Tribunal appointed under the Physical Planning Act (Cap. 8.03);

**“vehicle”** has the same meaning assigned to it under section 2 of the Customs (Control and Management) Act;

**“vessel”** has the same meaning assigned to it under section 2 of the Customs (Control and Management) Act.

(2) For the purposes of this Act, a specimen of an Appendix I species—

- (a) in the case of an animal, bred in captivity for commercial purposes; or
- (b) in the case of a plant, artificially propagated for commercial purposes,

is deemed to be a specimen of an Appendix II species.

(3) A reference to the “*relevant authority*” of a country shall be read as a reference—

- (a) in the case of a Party, to the appropriate management authority appointed by that Party for the purposes of the Convention; or
- (b) in the case of a country that is not a Party, to the competent authority of that country within the meaning of Article X of the Convention.

(4) If a person is required to prepare and ship a live animal or plant so as to minimise the risk of injury, damage to health or cruel treatment of the animal or plant, it shall be interpreted to mean—

- (a) in the case of an animal shipped by air, that it is prepared and shipped in accordance with the most current version of the International Air Transport Association Live Animals Regulations; and
- (b) in the case of an animal or plant shipped by means other than by air, that it is prepared and shipped in accordance with the most current version of the Guidelines for Transport and Preparation for Shipment of Live Wild Animals and Plants.

### **Purpose**

3. The purpose of this Act is to implement the Convention into Montserrat’s domestic law.

### **Act to bind the Crown**

4. This Act binds the Crown.

### **No derogation from other enactments**

5. This Act is in addition to and does not derogate from an enactment that provides for trade in goods.

## **PART 2**

### **ADMINISTRATION**

#### *Division 1*

#### *Management Authority*

### **Designation of Management Authority**

6. The Director is designated as the Management Authority for the purposes of the Convention.

### **Functions and powers of the Management Authority**

7. (1) The Management Authority shall—

- (a) advise the Minister on matters of policy relating to trade in specimens of Appendix I, II and III species including quotas and moratoria on trade in certain species;
  - (b) take the necessary steps to protect and conserve specimens of Appendix I, II and III species with regard to the effects of trade;
  - (c) promote public awareness of—
    - (i) Appendix I, II and III species; and
    - (ii) the requirement to conserve specimens of Appendix I, II and III species;
  - (d) receive and process an application for a permit and certificate in accordance with this Act and the Regulations;
  - (e) consult with the Scientific Authority with regard to—
    - (i) the issuance of an export permit or certificate of introduction from the sea for a specimen of an Appendix I or II species and the determination of whether the exportation or introduction from the sea of the specimen is for purposes not detrimental to the survival of the species;
    - (ii) the issuance of an import permit for a specimen of an Appendix II species and the determination of whether the importation of the specimen is for purposes not detrimental to the survival of the species;
    - (iii) the determination of whether a recipient of a live specimen of an Appendix I, II or III species imported or introduced from the sea is suitably equipped to house and properly care for the live specimen;
    - (iv) suitable measures to be taken to limit the grant of export permits for specimens of Appendix II species; and
    - (v) proposals for the amendment of the Appendices;
  - (f) license, suspend and cancel a licence of—
    - (i) a scientific institution;
    - (ii) a captive breeding operation; or
    - (iii) an artificial propagation operation,  
in Montserrat in accordance with the Regulations; and
  - (g) perform any other function required to give effect to the Convention.
- (2) Subject to subsection (3), the Management Authority may require that a specimen of an Appendix I, II or III species be marked to assist in identifying the specimen.

(3) The Management Authority shall ensure that a live specimen of an Appendix I, II or III species is marked in a manner that minimises the risk of injury, damage to the health or cruel treatment of the specimen.

### *Division 2*

### *Scientific Authority*

#### **Scientific Authority**

8. (1) The Governor, acting on the advice of the Cabinet, shall appoint a Scientific Authority which shall consist of at least three members including—

- (a) the Chief Veterinary Officer;
- (b) the Chief Medical Officer; and
- (c) a representative of the Planning and Development Authority other than the Director.

(2) The Scientific Authority may—

- (a) co-opt additional members; and
- (b) delegate its functions to a subcommittee consisting of one or more members, including a co-opted member.

(3) Subject to this Act, the Scientific Authority may regulate its own procedure.

#### **Functions and powers of the Scientific Authority**

9. The Scientific Authority shall—

- (a) advise the Minister on matters of policy relating to trade in specimens of Appendix I, II and III species including quotas and moratoria on trade in certain species;
- (b) advise the Management Authority on—
  - (i) the issuance of an export permit or certificate for introduction from the sea for a specimen of an Appendix I or II species and whether the exportation or introduction from the sea of the specimen will be for purposes not detrimental to the survival of the species;
  - (ii) the issuance of an import permit for a specimen of an Appendix I species and whether the importation of the specimen will be for purposes not detrimental to the survival of the species;
  - (iii) whether the recipient of a live specimen of an Appendix I species being imported or introduced from the sea is suitably equipped to house and properly care for the live specimen;

- (c) provide the Management Authority with—
  - (i) monitoring and advice on the status of native species listed in the Appendices, if any, and export data; and
  - (ii) recommendations, if necessary, with respect to remedial measures to limit the exportation of specimens of the native species listed in the Appendices in order to maintain each species throughout its range—
    - (A) at a level consistent with its role in the ecosystem in which it occurs; and
    - (B) above the level at which the species might become eligible for inclusion in Appendix I or II, as the case may be;
- (d) review proposals to amend the Appendices as submitted by Parties and make recommendations to the Minister on how to respond to the proposals;
- (e) recommend, initiate, carry out or support research it considers relevant to its functions; and
- (f) perform any other function required to give effect to the Convention.

### *Division 3*

#### *Registration of trade in endangered species*

#### **Register of trade in endangered species**

**10. (1)** The Management Authority shall establish and maintain a register of trade in endangered species in the form the Management Authority thinks fit.

- (2) The register of trade in endangered species shall set out—
  - (a) the name of each person issued with a permit or certificate under this Act;
  - (b) the number of each permit or certificate issued under this Act;
  - (c) the date of commencement and expiration of a permit or certificate issued under this Act;
  - (d) details of the cancellation or suspension of a permit or certificate issued under this Act; and
  - (e) any other information the Management Authority considers necessary.

(3) The Management Authority shall make the register of trade in endangered species available for public inspection.

*Division 4**Application of international standards***Duty to apply international standards**

11. In the performance of their functions under this Act the Management Authority and the Scientific Authority shall—

- (a) act on the basis of the best available information; and
- (b) apply the precautionary principle.

## PART 3

## TRADE IN APPENDIX I, II AND III SPECIES

*Division 1**General***Prohibition on trading without permit or certificate**

12. Subject to Division 5, a person may trade in a specimen of an Appendix I, II or III species into or from Montserrat only if he holds and produces to an officer the appropriate permit or certificate required under this Part before he trades in the specimen.

**Application for permit or certificate**

13. (1) A person who wishes to trade in a specimen of an Appendix I, II or III species shall—

- (a) apply to the Management Authority in the prescribed form for the appropriate permit or certificate;
- (b) pay the prescribed fee; and
- (c) submit to the Management Authority additional information the Management Authority may require.

(2) If the trade in a specimen of an Appendix I, II or III species is subject to controls under another enactment, a person may apply under this Act for the appropriate permit or certificate to trade in that specimen, only if he has obtained the required authorisation in respect of trade under the other enactment.

(3) A separate application is required for each consignment of a specimen of an Appendix I, II or III species.

**Request for further information**

14. (1) The Management Authority may, within fourteen working days after an application is made, by notice in writing, require an applicant to submit, within the period specified in the notice, any further information the

Management Authority requires in order to make a determination with respect to the application.

(2) The Management Authority may refuse to consider an application until the applicant submits the information requested under subsection (1).

(3) If, on an application made under this Act—

(a) a question arises as to whether a specimen was bred in captivity; and

(b) the Management Authority has reasonable cause to suspect that the specimen was not bred in captivity,

the Management Authority may, before making a determination on the application, direct an authorised officer to take a sample of the specimen in order to conduct an analysis the Management Authority considers necessary to determine whether the specimen was bred in captivity.

(4) The Management Authority shall submit a sample taken by an authorised officer under this Act to a scientific laboratory designated under section 79 of the Conservation and Environmental Management Act for analysis in accordance with internationally accepted forensic procedures.

(5) An authorised officer who takes a sample of a specimen under this Act shall—

(a) exercise his power in a manner that minimises the risk of injury, damage to the health or cruel treatment of the specimen; and

(b) in the case of a live animal, take the sample in accordance with the advice of a veterinary surgeon.

(6) The Management Authority may require an applicant to bear the cost of taking and analysing a sample under this Act.

### **Issue of permit or certificate**

**15. (1)** A permit or certificate issued under Divisions 2 to 5 shall relate to a specific application.

(2) The Management Authority may, having regard to Divisions 2 to 5—

(a) issue a permit or certificate in the prescribed form; or

(b) refuse to issue a permit or certificate,

in respect of a specimen which is the subject of an application.

(3) The Management Authority may issue a permit or certificate unconditionally or subject to conditions the Management Authority specifies.

### **Duty to notify applicant of refusal to issue permit or certificate**

**16.** If the Management Authority refuses to issue a permit or certificate under this Part, the Management Authority shall—

- (a) notify the applicant in writing; and
- (b) include in the notice—
  - (i) the reason for the refusal to issue the permit or certificate; and
  - (ii) the applicant's right to appeal the decision to the Tribunal.

### **Effect and duration of permit or certificate**

**17. (1)** A permit or certificate issued to a person under this Part—

- (a) authorises the holder to undertake, on one occasion, the type of trade to which the permit or certificate relates with respect to the specimen to which the permit or certificate applies;
- (b) is non-transferable;
- (c) authorises trade only if it is valid;
- (d) is valid from the date it is issued;
- (e) in the case of an export permit or re-export certificate, remains in force for six months from the date it is issued, unless it is earlier revoked or surrendered;
- (f) in the case of an import permit or an introduction from the sea certificate, remains in force for twelve months from the date it is issued, unless it is earlier revoked or surrendered; and
- (g) in the case of—
  - (i) a certificate of captive breeding;
  - (ii) a certificate of artificial propagation;
  - (iii) a Pre-Convention certificate; or
  - (iv) a travelling exhibition certificate,

remains in force for three years, unless it is earlier revoked or surrendered.

**(2)** The validity of a permit or certificate issued under this Act may not be extended beyond the date on which it expires.

### **Suspension of permit or certificate**

**18. (1)** Subject to subsection (2), the Management Authority may suspend a permit or certificate if the holder—

- (a) contravenes the Act; or

- (b) fails to comply with a condition under which the permit or certificate is issued.
- (2) If the Management Authority suspends a permit or certificate the Management Authority shall—
  - (a) notify the applicant in writing; and
  - (b) include in the notice—
    - (i) the reason for the suspension of the permit or certificate;
    - (ii) advice that the permit or certificate will remain suspended until the matter that led to its suspension is rectified;
    - (iii) the timeframe within which the matter that led to the suspension of the permit or certificate is to be rectified; and
    - (iv) the holder's right to appeal.

### **Revocation of permit or certificate**

19. (1) The Management Authority may revoke a permit or certificate if—
- (a) it is satisfied that—
    - (i) the permit or certificate is suspended and the holder does not rectify the matter that led to the suspension of the permit or certificate within the timeframe specified in the notice of suspension;
    - (ii) the permit or certificate was granted in error or contains a material error; or
    - (iii) there is a material change in the circumstances which existed at the time the Management Authority issued the permit or certificate, which warrants the revocation of the permit or certificate; or
  - (b) the holder requests the revocation of the permit or certificate in writing.
- (2) If the Management Authority revokes a permit or certificate the Management Authority shall—
- (a) notify the holder in writing; and
  - (b) include in the notice—
    - (i) the reason for the revocation of the permit or certificate; and
    - (ii) the holder's right to appeal.

### Replacement of permit or certificate

**20. (1)** The holder of a permit or certificate that has been lost, damaged or stolen, may apply in writing to the Management Authority for a replacement of the permit or certificate.

**(2)** An application under subsection (1) shall be—

- (a)* in the form approved by the Management Authority; and
- (b)* accompanied by the prescribed fee.

**(3)** The Management Authority may replace a permit or certificate if it is satisfied that—

- (a)* the permit or certificate in respect of which the application for replacement is made has been lost, damaged or stolen; and
- (b)* the circumstances surrounding the loss, destruction or theft of the permit or certificate are reasonable.

### Surrender of permit or certificate

**21.** The Management Authority may require that a permit or certificate issued under this Act, which is suspended, revoked or expired be surrendered to the Management Authority as directed.

## Division 2

### Trade in Appendix I species

#### Permit to export Appendix I species

**22.** The Management Authority may, after consultation with the Scientific Authority, issue a permit to export a specimen of an Appendix I species to an applicant if—

- (a)* the Scientific Authority advises the Management Authority that the export of the specimen is not detrimental to the survival of that species; and
- (b)* the Management Authority is satisfied that—
  - (i)* the specimen was not obtained in contravention of an enactment;
  - (ii)* in the case of a live specimen, the specimen is to be prepared and shipped in a manner that minimises the risk of injury, damage to the health or cruel treatment of the specimen; and
  - (iii)* the relevant authority in the country of import has issued a permit to import the specimen to the applicant.

**Permit to import Appendix I species**

**23.** The Management Authority may, after consultation with the Scientific Authority, issue a permit to import a specimen of an Appendix I species to an applicant if—

- (a) the Scientific Authority advises the Management Authority that—
  - (i) the import of the specimen is for purposes that are not detrimental to the survival of that species;
  - (ii) the Scientific Authority is satisfied that in the case of a live specimen, the proposed recipient of the specimen is suitably equipped to house and care for the specimen; and
- (b) the Management Authority is satisfied that—
  - (i) the specimen is not to be used for primarily commercial purposes; and
  - (ii) the relevant authority in the country of export or re-export has issued a permit to export or re-export the specimen to the applicant.

**Certificate to re-export Appendix I species**

**24.** The Management Authority may, after consultation with the Scientific Authority, issue a certificate to re-export a specimen of an Appendix I species to an applicant, if the Management Authority is satisfied that—

- (a) the specimen was not imported in contravention of an enactment;
- (b) in the case of a live specimen, the specimen is to be prepared and shipped in a manner that minimises the risk of injury, damage to the health or cruel treatment of the specimen; and
- (c) the relevant authority in the country of import has issued a permit to import the specimen.

**Certificate to introduce from the sea Appendix I species**

**25.** The Management Authority may, after consultation with the Scientific Authority, issue a certificate to introduce from the sea a specimen of an Appendix I species to an applicant if—

- (a) the Scientific Authority advises the Management Authority that the introduction of the specimen from the sea is not detrimental to the survival of that species; and
- (b) the Management Authority is satisfied that—

- (i) in the case of a live specimen, the proposed recipient of the specimen is suitably equipped to house and care for the specimen; and
- (ii) the specimen is not to be used for primarily commercial purposes.

### *Division 3*

### *Trade in Appendix II species*

#### **Permit to export Appendix II species**

26. The Management Authority may, after consultation with the Scientific Authority, issue a permit to export a specimen of an Appendix II species to an applicant if—

- (a) the Scientific Authority advises the Management Authority that the export of the specimen is not detrimental to the survival of that species; and
- (b) the Management Authority is satisfied that—
  - (i) the specimen was not obtained in contravention of an enactment; and
  - (ii) in the case of a live specimen, the specimen is to be prepared and shipped in a manner that minimises the risk of injury, damage to the health or cruel treatment of the specimen.

#### **Importation of Appendix II species**

27. A person who wishes to import a specimen of an Appendix II species shall present to an officer before or at the time of import of the specimen, a permit to export or a certificate to re-export the specimen issued by the relevant authority in the country of export or re-export.

#### **Certificate to re-export Appendix II species**

28. The Management Authority may, after consultation with the Scientific Authority, issue a certificate to re-export a specimen of an Appendix II species to an applicant, if the Management Authority is satisfied that—

- (a) the specimen was not imported in contravention of an enactment; and
- (b) in the case of a live specimen, the specimen is to be prepared and shipped in a manner that minimises the risk of injury, damage to the health or cruel treatment of the specimen.

**Certificate to introduce from the sea Appendix II species**

**29.** The Management Authority may, after consultation with the Scientific Authority, issue a certificate to introduce from the sea a specimen of an Appendix II species to an applicant if—

- (a) the Scientific Authority advises the Management Authority that the introduction of the specimen from the sea is not detrimental to the survival of that species; and
- (b) the Management Authority is satisfied that the specimen is to be handled in a manner that minimises the risk of injury, damage to the health or cruel treatment of the specimen.

*Division 4**Trade in Appendix III species***Permit or certificate to export Appendix III species**

**30. (1)** The Management Authority may, after consultation with the Scientific Authority, issue a permit to export a specimen of a species listed under Appendix III on behalf of Montserrat to an applicant, if the Management Authority is satisfied that—

- (a) the specimen was not obtained in contravention of an enactment; and
- (b) the specimen is to be prepared and shipped in a manner that minimises the risk of injury, damage to the health or cruel treatment of the specimen.

**(2)** The Management Authority may, after consultation with the Scientific Authority, issue a certificate of origin to export a specimen of a species which was not listed under Appendix III on behalf of Montserrat to an applicant, if the Management Authority is satisfied that—

- (a) the specimen originated in Montserrat; and
- (b) the specimen is to be prepared and shipped in a manner that minimises the risk of injury, damage to the health or cruel treatment of the specimen.

**Permit or certificate to import Appendix III species**

**31. (1)** The Management Authority may, after consultation with the Scientific Authority, issue a permit to import a specimen of a species listed under Appendix III on behalf of Montserrat to an applicant, if—

- (a) the applicant presents the Management Authority with a permit to export or re-export the specimen issued by the relevant authority in the country of export or re-export; and

- (b) in the case of a live specimen, the Management Authority is satisfied that the proposed recipient of the specimen is suitably equipped to house and care for the specimen.

(2) The Management Authority may, after consultation with the Scientific Authority, issue a permit to import a specimen of a species which was not listed under Appendix III on behalf of Montserrat to an applicant, if—

- (a) the applicant presents the Management Authority with a certificate of origin issued by the relevant authority in the country of origin; and
- (b) in the case of a live specimen, the Management Authority is satisfied that the proposed recipient of the specimen is suitably equipped to house and care for the specimen.

### **Permit or certificate to re-export Appendix III species**

32. The Management Authority may, after consultation with the Scientific Authority, issue a certificate to re-export a specimen of an Appendix III species to an applicant if—

- (a) the applicant presents the Management Authority with a permit to export the specimen, a certificate to re-export the specimen or a certificate of origin; and
- (b) in the case of a live specimen, the Management Authority is satisfied that the specimen is to be prepared and shipped in a manner that minimises the risk of injury, damage to the health or cruel treatment of the specimen.

### **Certificate to introduce from the sea Appendix III species**

33. The Management Authority, after consultation with the Scientific Authority, may issue a certificate to introduce from the sea a specimen of an Appendix III species to an applicant.

#### *Division 5*

#### *Exemptions and special provisions*

### **Transshipment of specimen**

34. A specimen that is in transit through Montserrat is exempted from the requirements under Divisions 1 to 4 if it is under the control of the Customs and Excise Division of the Ministry of Finance.

### **Scientific transfer**

35. (1) Divisions 1 to 4 do not apply to the non-commercial loan, donation, or exchange of a specimen of an Appendix I, II or III species under subsection (2) between a scientist or scientific institution in Montserrat

licensed by the Management Authority and a scientist or scientific institution in another country recognised by the relevant authority in that country.

(2) The exemption under subsection (1) applies to a specimen of an Appendix I, II or III species which—

(a) is a—

- (i) herbarium and other preserved, dried or embedded plant;
- (ii) live plant; or
- (iii) preserved animal and skin of an animal; and

(b) carries a label approved by the Management Authority.

### Pre-Convention specimen

36. (1) A person who wishes to export or re-export a specimen of an Appendix I, II or III species that was legally acquired before the Convention applied to the specimen, shall apply in the prescribed form to the Management Authority for a Pre-Convention certificate.

(2) The Management Authority shall issue a Pre-Convention certificate to an applicant if the Management Authority is satisfied that the specimen to which his application relates was legally acquired before this Act came into force.

(3) Divisions 2 to 4 do not apply to a person who is issued with a Pre-Convention certificate under subsection (2).

(4) For the purposes of trade, an officer shall accept a Pre-Convention certificate *in lieu* of a permit or certificate required under Divisions 2 to 4.

### Personal or household effects

37. (1) Subject to subsection (2), Divisions 1 to 4 do not apply to a specimen of an Appendix I, II or III species that is a personal or household effect.

(2) Divisions 1 to 4 apply to a specimen that is a personal or household effect if the specimen—

(a) is an Appendix I specimen the owner of which—

- (i) acquired the specimen outside Montserrat; and
- (ii) is importing the specimen into Montserrat; or

(b) is an Appendix II specimen—

- (i) that the owner acquired outside Montserrat in a country where it was removed from the wild;
- (ii) that is being imported into Montserrat; and

- (iii) in respect of which an export permit is required by the country from which the specimen was removed from the wild before the specimen can be exported,

unless the specimen is accompanied by a Pre-Convention certificate.

### Specimen bred in captivity or artificially propagated

**38. (1)** A specimen of an Appendix II or III species that originates from a breeding or artificial propagation operation licensed by the Management Authority may be traded only if it is uniquely and permanently marked in a manner approved by the Management Authority.

**(2)** A person who wishes to export or re-export a specimen of an Appendix II or III species that—

- (a) in the case of an animal, was bred in captivity; or  
(b) in the case of a plant, was artificially propagated,

shall apply to the Management Authority in the prescribed form, for a certificate for specimens bred in captivity or artificially propagated, as the case may be.

**(3)** The Management Authority, after consultation with the Scientific Authority, may issue a certificate for a specimen bred in captivity or artificially propagated to an applicant, if the Management Authority is satisfied that the specimen to which the application relates was bred in captivity or artificially propagated, as the case may be.

**(4)** For the purposes of trade of a specimen of an Appendix I, II or III species that is bred in captivity or artificially propagated, an officer shall accept a certificate for a specimen bred in captivity or artificially propagated *in lieu* of a permit or certificate required under Divisions 2 to 4.

### Travelling exhibitions

**39. (1)** A person who wishes to trade in a specimen of an Appendix I, II or III species as part of a travelling exhibition, shall apply to the Management Authority in the prescribed form for a travelling exhibition certificate.

**(2)** The Management Authority, may issue a travelling exhibition certificate to an applicant if the Management Authority is satisfied that—

- (a) the specimen was—  
(i) legally acquired before this Act came into force; or  
(ii) bred in captivity or artificially propagated; and  
(b) the specimen—  
(i) is to be traded as part of a travelling exhibition; and  
(ii) in a case of a living specimen, will be shipped and cared for in a manner that minimises the risk of injury,

damage to the health or cruel treatment of the specimen.

(3) For the purposes of trade in a specimen of an Appendix I, II or III species as part of a travelling exhibition, an officer shall accept a travelling exhibition certificate *in lieu* of a permit or certificate required under Divisions 2 to 4.

## PART 4

### ENFORCEMENT

#### Designation of authorised officers

40. (1) The Deputy Governor may designate a public officer as an authorised officer to enforce this Act.

(2) In addition to persons designated under subsection (1), the following persons are *ex officio* authorised officers—

- (a) the Director and any other personnel of the Department as the Director may designate in writing;
- (b) the Chief Fisheries Officer;
- (c) a police officer;
- (d) a member of the defence force;
- (e) an agricultural officer;
- (f) a forest ranger;
- (g) an environment officer;
- (h) a litter warden;
- (i) an officer;
- (j) a public health inspector; and
- (k) a building inspector.

(3) Despite the designation of a person as an authorised officer under subsections (1) and (2), that person shall require the approval of his supervisor and the Director if it is reasonably possible to obtain that approval before the exercise of the duties of an authorised officer.

#### Identification card

41. (1) The Minister shall provide to an authorised officer an identification card in the prescribed form.

(2) Before an authorised officer enters a place in the exercise of his functions under this Act, the authorised officer shall—

- (a) produce his identification card to the owner or occupier of the place; and
- (b) explain the nature of the function he wishes to perform.

(3) An identification card issued under this section is the property of the Government.

(4) A person issued with an identification card under this section shall return the identification card to the Ministry without delay on request.

### Use of assistants

42. An authorised officer may enlist the assistance of a person whom he considers necessary and a person enlisted shall assist the authorised officer in the performance of his functions under this Act.

## Division 2

### *Search, seizure and forfeiture*

### Power to inspect and seize object

43. (1) For the purpose of determining whether an offence under this Act has been or is being committed, an authorised officer may—

- (a) inspect an object and take measurements of the object;
- (b) take a sample from an object;
- (c) require a person to produce a document or information relevant to the administration of this Act;
- (d) take a copy of or extract from a document or other record;
- (e) seize and detain an object which he believes, on reasonable grounds, may be required as evidence in proceedings under this Act.

(2) A person who is required to have a permit, certificate, licence or other document under this Act, including a document required as a condition attached to a permit, certificate or licence, shall at the request of an authorised officer, provide the document to the authorised officer at the time and place the authorised officer specifies.

(3) An authorised officer who seizes an object under subsection (1) shall give to the person, if any, who appears to him to be the owner of or entitled to the possession of the object, a receipt that—

- (a) identifies the object; and
- (b) sets out the date and time the object is seized.

(4) An officer shall exercise due care to ensure that there is as little damage as possible to an object from which he takes a sample.

(5) For the purposes of this section “**object**” does not include a specimen of a species included in the Appendices.

### **Power to inspect and seize specimen**

44. (1) An authorised officer who has reasonable grounds to suspect that a specimen may provide evidence of an offence under this Act may—

- (a) inspect and seize the specimen; and
- (b) take a sample from the specimen as provided for under section 14(5)(b).

(2) An authorised officer who exercises his power under subsection (1) shall exercise due care to ensure that as little injury, damage to health or cruel treatment as possible is caused to the specimen.

(3) An authorised officer who seizes a specimen under subsection (1), shall—

- (a) give to the person, if any, who appears to him to be the owner of or entitled to the possession of the object, a receipt that—
  - (i) identifies the specimen; and
  - (ii) sets out the date and time the specimen was seized; and
- (b) without delay, deliver the specimen to the custody of the Management Authority who shall arrange for the specimen to be detained at a place approved by the Management Authority for its maintenance in a manner that minimises the risk of injury, damage to health or cruel treatment.

### **Power to enter and search premises**

45. (1) Subject to section 40(3), an authorised officer may at any reasonable time, with the permission of the owner or occupier of the premises, enter the premises to—

- (a) monitor the activities carried out on the premises; or
- (b) effect compliance with this Act.

(2) If the Management Authority has reason to believe that a contravention of the Act has occurred or is about to occur, and the circumstances are such that seeking permission to enter in accordance with subsection (1) would defeat the purpose for which entry is sought, the Management Authority may apply to a magistrate for a warrant authorising an authorised officer to enter the premises.

(3) In the course of entry under this section, an authorised officer may—

- (a) search the premises;

- (b) take a photograph or other audio or visual recording; and
- (c) take measurements the authorised officer considers necessary; and
- (d) exercise on the premises any of the powers of an authorised officer under this Act.

### **Power to stop vehicle or vessel and open or break open container**

46. For the purposes of exercising his powers under this Act, an authorised officer may, if necessary—

- (a) open or break open a container that he believes, on reasonable grounds, contains evidence of an offence under this Act; and
- (b) stop and board a vehicle, aircraft or vessel or direct that it be moved to a place where it can be searched.

### **Disposition of things seized**

47. (1) Subject to subsection (2), an authorised officer who seizes anything under this Act shall as soon as practicable, on being issued a receipt for it, return the thing seized to the lawful owner or the person lawfully entitled to possession of it when the authorised officer is satisfied that—

- (a) there is no dispute as to the lawful owner or the person lawfully entitled to possession of the thing;
- (b) possession by the lawful owner or person lawfully entitled to possession will not contravene this Act or the Convention; and
- (c) the detention of the thing seized is not necessary for the purposes of an investigation or proceedings under this Act.

(2) If the authorised officer is not satisfied as to the matters under subsection (1)(a) to (c) he shall—

- (a) bring the thing seized before a Magistrate; or
- (b) report to the Magistrate that he has seized the thing and is detaining it or causing it to be detained,

to be dealt with by the Magistrate under subsection (3).

(3) If anything seized is brought before a Magistrate or a report is made to a Magistrate under subsection (2), the Magistrate shall—

- (a) order it to be returned to the owner or person lawfully entitled to possession of it if the Magistrate is satisfied that—
  - (i) the lawful owner or person who is lawfully entitled to possession of the thing is known; and

- (ii) possession by the lawful owner or person who is lawfully entitled to possession of the thing will not contravene this Act or the Convention; or
- (b) if he is satisfied that the thing seized should be detained for the purposes of an investigation or proceedings under this Act, order that it be detained taking reasonable care to ensure that it is preserved until the latest of the following events—
  - (i) the conclusion of an investigation;
  - (ii) it is required to be produced for proceedings under this Act;
  - (iii) the investigation or proceedings are discontinued;
  - (iv) the proceedings are concluded; or
  - (v) the thing is forfeited or abandoned.

(4) If the lawful owner or person entitled to ownership of the thing seized cannot be identified within thirty days after its seizure, the Magistrate may order that the thing or the proceeds of its disposition are forfeited to the Crown.

(5) Subject to subsection (6), if the thing seized is perishable, the authorised officer shall dispose of it as the Management Authority directs and proceeds of its disposition shall be paid to the lawful owner or person lawfully entitled to possession of it.

(6) If the thing seized is perishable and proceedings under this Act are commenced within ninety days after its seizure, the Management Authority shall retain the proceeds of its disposition pending the outcome of the proceedings.

### **Forfeiture on conviction**

48. If a person is convicted of an offence under this Act the court may order that—

- (a) a specimen in respect of which the offence was committed; and
- (b) a container, packing case, crate, box, cage or other receptacle used in relation to the commission of the offence,

be forfeited to the Crown.

### **Disposition of things forfeited or abandoned**

49. Anything forfeited to the Crown or abandoned under this Act shall be dealt with and disposed of as the Management Authority directs and in the case of a live specimen the Management Authority, after consultation with the Scientific Authority, may—

- (a) with the approval of the country from which the specimen was taken from the wild, return the specimen to that country;
- (b) destroy the specimen if it considers it necessary to prevent it suffering or to minimise the risk of the specimen spreading disease;
- (c) use the specimen for the purposes of law enforcement; or
- (d) arrange for the specimen to be kept at a place approved by the Management Authority for its maintenance in a manner that minimises the risk of injury, damage to health or cruel treatment.

### **Recovery of expenses**

**50.** If, in the case of a live specimen seized or forfeited to the Crown under this Act, the Management Authority incurs expenses in connection with the live specimen being—

- (a) returned to the country from which it was taken from the wild or exported to Montserrat;
- (b) kept at place suitable for maintaining the specimen; or
- (c) destroyed,

the expenses may be recovered as a civil debt due to the Crown, from the importer or intending exporter of the specimen or from a person in possession of the specimen at the time the specimen is seized.

### *Division 3*

#### *Offences*

### **Illegal trade in specimen of Appendix I, II or III species**

**51.** A person who contravenes section 12, commits an offence and is liable on conviction—

- (a) in the case of an individual to a fine of \$25,000 or one year imprisonment or both; and
- (b) in the case of a corporation, to a fine of \$50,000.

### **Possession of specimen of Appendix I, II or III species without permit or certificate**

**52.** A person who has in his possession a specimen of an Appendix I, II or III species that he knows or has reasonable grounds to suspect, was traded into or from Montserrat in contravention of this Act, commits an offence and is liable on conviction—

- (a) in the case of an individual, to a fine of \$25,000 or one year imprisonment or both; and

(b) in the case of a corporation, to a fine of \$50,000.

### **Submission of false or misleading information**

**53. (1)** A person who, for the purpose of procuring anything to be done under this Act, for his benefit or for the benefit of another person knowingly or recklessly—

(a) makes a statement which is false or misleading in a material particular; or

(b) provides to an authorised officer a document or information which is false or misleading in any material particular,

commits an offence.

**(2)** A person who commits an offence under subsection (1) is liable—

(a) in the case of an individual, to a fine of \$25,000 or one year imprisonment or both; and

(b) in the case of a corporation, to a fine of \$50,000.

**(3)** If the Management Authority issues a permit or certificate on application made under this Act and for the purposes of the granting of the application, a person commits an offence under subsection (1), the permit or certificate is void.

### **Failure to comply with condition of permit or certificate**

**54.** A person who fails to comply with a condition of a permit or certificate issued to him under Part 3, commits an offence and is liable on conviction—

(a) in the case of an individual, to a fine of \$25,000 or one year imprisonment or both; and

(b) in the case of a corporation, to a fine of \$50,000.

### **Obstruction of an authorised officer**

**55.** A person who obstructs an authorised officer acting in the exercise of his powers under this Act, commits a summary offence and is liable to—

(a) a fine of \$3,000 or three months' imprisonment or both; or

(b) a fine of \$5,000 or six months' imprisonment or both, for a second or further offence.

### **Failure to mark a specimen or unauthorised removal of mark**

**56. (1)** A person who—

(a) fails to comply with a requirement to mark a specimen; or

(b) engages in conduct which causes the removal of a mark, interference with a mark or renders the mark unusable,

commits a summary offence.

(2) A person who commits a summary offence under subsection (1) is liable—

- (a) in the case of an individual, to a fine of \$3,000 or three months' imprisonment or both; or
- (b) in the case of a corporation, to a fine of \$5,000.

## PART 5

### MISCELLANEOUS

#### **Trade with a country that is not a Party**

57. If export or re-export is to, or import is from a country that is not a Party, the Management Authority shall accept comparable documentation issued by the competent authority in that country which substantially conforms with the requirements of the Convention for a permit or certificate *in lieu* of a permit or certificate.

#### **Liability of officials**

58. If a corporation commits an offence under this Act, a director, manager, supervisor, partner or other similar responsible official of that corporation may, together with the corporation, be found liable for the offence, if, having regard to—

- (a) the nature of his functions in that capacity;
- (b) the resources within his control or discretion; and
- (c) his reasonable ability to prevent the offence,

the offence was committed with his direct consent or connivance; or he, with knowledge, did not exercise reasonable diligence to prevent the commission of the offence.

#### **Acts done in good faith**

59. A person who is authorised by or under this Act to carry out a function, exercise a power or perform a duty shall not be held personally liable in a court for or in respect of an act or matter done or omitted to be done in good faith in the exercise or discharge of that function, power or duty.

#### **Application of Customs (Control and Management) Act**

60. This Act is an assigned matter within the meaning of section 2 of the Customs (Control and Management) Act.

#### **Appeal**

61. (1) Subject to subsection (2), an appeal from a decision to—

- (a) refuse an application made under Part 3;
- (b) impose conditions on the granting of an application under Part 3;
- (c) suspend a permit or certificate issued under Part 3; or
- (d) revoke a permit or certificate issued under Part 3,

lies with the Tribunal.

(2) Part 14 of the Conservation and Environmental Management Act applies with the necessary modifications to an appeal provided for under this section.

### **Amendment of Schedule**

**62. (1)** If the Convention is amended under Article XVII, the Governor, acting on the advice of the Cabinet, may by Order, amend the Schedule accordingly.

(2) An Order under subsection (1) may contain consequential, supplementary or ancillary provisions as appear to the Governor, acting on the advice of the Cabinet, to be necessary to give effect to an amendment to the Convention.

### **Regulations**

**63. (1)** The Governor, acting on the advice of the Cabinet, may make regulations to give effect to this Act.

(2) Without limiting the generality of subsection (1), the Governor, acting on the advice of the Cabinet, may make regulations with respect to—

- (a) the form of applications required and permits or certificates to be issued under this Act;
- (b) the fees to be paid in respect of—
  - (i) an application for a permit or certificate granted under this Act; or
  - (ii) a service provided for under this Act or the Regulations;
- (c) the determination of whether—
  - (i) a specimen was legally acquired;
  - (ii) trade is detrimental to the survival of a species;
  - (iii) the non-commercial aspects of an activity do not clearly predominate for the purpose of the definition of “**primarily commercial purpose**” under section 2;
  - (iv) the recipient of a live specimen is suitably equipped to house and care for the live specimen;

- (d) the procedure for the licensing of—
- (i) an artificial propagation operation;
  - (ii) a captive breeding operation; and
  - (iii) a scientific institution.

**(3)** Regulations made under this Act may prescribe specific offences and a person who commits a summary offence is liable to a fine of \$1,000 and, if the offence is a continuing offence, to a further fine of \$100 for each day during which the offence continues or three months' imprisonment or both.

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**SCHEDULE***(Section 2)*

Appendices I, II and III

**valid from 5 February 2015\*****INTERPRETATION**

1. Species included in these Appendices are referred to:
  - a) by the name of the species; or
  - b) as being all of the species included in a higher taxon or designated part thereof.
2. The abbreviation “spp.” is used to denote all species of a higher taxon.
3. Other references to taxa higher than species are for the purposes of information or classification only. The common names included after the scientific names of families are for reference only. They are intended to indicate the species within the family concerned that are included in the Appendices. In most cases this is not all of the species within the family.
4. The following abbreviations are used for plant taxa below the level of species:
  - a) “ssp.” is used to denote subspecies; and
  - b) “var(s).” is used to denote variety (varieties).
5. As none of the species or higher taxa of FLORA included in Appendix I is annotated to the effect that its hybrids shall be treated in accordance with the provisions of Article III of the Convention, this means that artificially propagated hybrids produced from one or more of these species or taxa may be traded with a certificate of artificial propagation, and that seeds and pollen (including pollinia), cut flowers, seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers of these hybrids are not subject to the provisions of the Convention.
6. The names of the countries in parentheses placed against the names of species in Appendix III are those of the Parties submitting these species for inclusion in this Appendix.
7. When a species is included in one of the Appendices, all parts and derivatives of the species are also included in the same Appendix unless the species is annotated to indicate that only specific parts and derivatives are included. The symbol # followed by a number placed against the name of a species or higher taxon included in Appendix II or III refers to a footnote that indicates the parts or derivatives of plants that are designated as ‘specimens’ subject to the provisions of the

Convention in accordance with Article I, paragraph (b), subparagraph (iii).

8. The terms and expressions below, used in annotations in these Appendices, are defined as follows:

Extract

*Any substance obtained directly from plant material by physical or chemical means regardless of the manufacturing process. An extract may be solid (e.g. crystals, resin, fine or coarse particles), semisolid (e.g. gums, waxes) or liquid (e.g. solutions, tinctures, oil and essential oils).*

Finished products packaged and ready for retail trade

*Products, shipped singly or in bulk, requiring no further processing, packaged, labelled for final use or the retail trade in a state fit for being sold to or used by the general public.*

Powder

*A dry, solid substance in the form of fine or coarse particles.*

Woodchips

*Wood that has been reduced to small pieces.*

## Appendices

I	II	III
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## F A U N A (ANIMALS)

## P H Y L U M C H O R D A T A

CLASS MAMMALIA  
(MAMMALS)

## ARTIODACTYLA

## Antilocapridae Pronghorn

*Antilocapra*

*39uspidate* (Only the population of Mexico; no other population is included in the Appendices)

Bovidae Antelopes, cattle, duikers, gazelles, goats, sheep, etc.

*Addax**nasomaculatus**Ammotragus lervia*

*Antilope cervicapra* (Nepal, Pakistan)

*Bison bison*  
*athabasca*

*Bos gaurus* (Excludes the domesticated form, which is referenced as *Bos frontalis*, and is not subject to the provisions of the Convention)

*Bos mutus* (Excludes the domesticated form, which is referenced as *Bos grunniens*, and is not subject to the provisions of the Convention)

*Bos sauveli**Boselaphus*

*tragocamelus* (Pakistan)

*Bubalus arnee* (Nepal) (Excludes the domesticated form, which is referenced as *Bubalus bubalis*)

<i>Bubalus depressicornis</i>		
<i>Bubalus mindorensis</i>		
<i>Bubalus quarlesi</i>		
	<i>Budorcas taxicolor</i>	
<i>Capra falconeri</i>		
		<i>Capra hircus aegagrus</i> (Pakistan) (Specimens of the domesticated form are not subject to the provisions of the Convention)
		<i>Capra sibirica</i> (Pakistan)
<i>Capricornis milneedwardsii</i>		
<i>Capricornis rubidus</i>		
<i>Capricornis sumatraensis</i>		
<i>Capricornis thar</i>		
	<i>Cephalophus brookei</i>	
	<i>Cephalophus dorsalis</i>	
<i>Cephalophus jentinki</i>		
	<i>Cephalophus ogilbyi</i>	
	<i>Cephalophus silvicultor</i>	
	<i>Cephalophus zebra</i>	
	<i>Damaliscus pygargus pygargus</i>	
		<i>Gazella bennettii</i> (Pakistan)
<i>Gazella cuvieri</i>		
		<i>Gazella 40uspid</i> (Algeria, Tunisia)
<i>Gazella leptoceros</i>		
<i>Hippotragus niger variani</i>		
	<i>Kobus leche</i>	
<i>Naemorhedus baileyi</i>		
<i>Naemorhedus caudatus</i>		
<i>Naemorhedus goral</i>		
<i>Naemorhedus griseus</i>		
<i>Nanger dama</i>		
<i>Oryx dammah</i>		
<i>Oryx leucoryx</i>		
	<i>Ovis ammon</i> (Except the	

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	subspecies included in Appendix I)	
<i>Ovis ammon hodgsonii</i>		
<i>Ovis ammon nigrimontana</i>		
	<i>Ovis 41uspidate41</i> (Only the population of Mexico; no other population is included in the Appendices)	
<i>Ovis orientalis ophion</i>		
	<i>Ovis vignei</i> (Except the subspecies included in Appendix I)	
<i>Ovis vignei vignei</i>		
<i>Pantholops hodgsonii</i>		
	<i>Philantomba monticola</i>	
		<i>Pseudois nayaur</i> (Pakistan)
<i>Pseudoryx ngetinhensis</i>		
	<i>Rupicapra pyrenaica 41uspid</i>	
	<i>Saiga borealis</i>	
	<i>Saiga tatarica</i>	
		<i>Tetracerus quadricornis</i> (Nepal)
Camelidae Guanaco, vicuna		
	<i>Lama guanicoe</i>	
<i>Vicugna vicugna</i> [Except the populations of: Argentina (the populations of the Provinces of Jujuy and Catamarca and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan), Chile (population of the Primera Región),		

**Ecuador (the whole population), Peru (the whole population) and the Plurinational State of Bolivia (the whole population), which are included in Appendix II]**

	<p><i>Vicugna vicugna</i> [Only the populations of Argentina<sup>1</sup> (the populations of the Provinces of Jujuy and Catamarca and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan), Chile<sup>2</sup> (population of the Primera Región), Ecuador<sup>3</sup> (the whole population), Peru<sup>4</sup> (the whole population); and the Plurinational State of Bolivia<sup>5</sup> (the whole population); all other populations are included in Appendix I]</p>	
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**Cervidae Deer, guemals, muntjacs, pudus**

<i>Axis calamianensis</i>		
<i>Axis kuhlii</i>		
		<i>Axis porcinus</i> (except the subspecies included in Appendix I) (Pakistan)
<i>Axis porcinus annamiticus</i>		
<i>Blastocerus dichotomus</i>		
	<i>Cervus elaphus bactrianus</i>	
		<i>Cervus elaphus 42uspidate</i> (Algeria, Tunisia)

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*Cervus elaphus  
hanglu**Dama dama  
mesopotamica**Hippocamelus* spp.*Mazama temama cerasina*  
(Guatemala)*Muntiacus crinifrons**Muntiacus  
vuquangensis**Odocoileus virginianus mayensis*  
(Guatemala)*Ozotoceros  
bezoarticus**Pudu  
mephistophiles**Pudu puda**Rucervus duvaucelii**Rucervus eldii***Hippopotamidae Hippopotamuses***Hexaprotodon  
liberiensis**Hippopotamus  
43uspidate43***Moschidae Musk deer*****Moschus*spp. (Only  
the populations of  
Afghanistan,  
Bhutan, India,  
Myanmar, Nepal  
and Pakistan; all  
other populations  
are included in  
Appendix II)*****Moschus* spp.**  
(Except the  
populations of  
Afghanistan,  
Bhutan, India,  
Myanmar, Nepal and  
Pakistan, which are  
included in  
Appendix I)**Suidae Babirusa, pygmy hog***Babyrousa babyrousa**Babyrousa  
bolabatuensis**Babyrousa celebensis*

*Babyrousa togeanensis**Sus salvanius***Tayassuidae Peccaries**

**Tayassuidae spp.**  
(Except the species included in Appendix I and the populations of *Pecari tajacu* of Mexico and the United States of America, which are not included in the Appendices)

*Catagonus wagneri***CARNIVORA****Ailuridae Red panda***Ailurus fulgens***Canidae Bush dog, foxes, wolves***Canis aureus* (India)

***Canis lupus* (Only the populations of Bhutan, India, Nepal and Pakistan; all other populations are included in Appendix II. Excludes the domesticated form and the dingo which are referenced as *Canis lupus familiaris* and *Canis lupus dingo*.)**

***Canis lupus* (Except the populations of Bhutan, India, Nepal and Pakistan, which are included in Appendix I. Excludes the domesticated form and the dingo which are referenced as *Canis lupus familiaris* and *Canis lupus dingo*.)**

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	<i>Cerdocyon thous</i>	
	<i>Chrysocyon brachyurus</i>	
	<i>Cuon alpinus</i>	
	<i>Lycalopex culpaeus</i>	
	<i>Lycalopex fulvipes</i>	
	<i>Lycalopex griseus</i>	
	<i>Lycalopex gymnocercus</i>	
<i>Speothos venaticus</i>		
		<i>Vulpes bengalensis</i> (India)
	<i>Vulpes cana</i>	
		<i>Vulpes vulpes griffithi</i> (India)
		<i>Vulpes vulpes montana</i> (India)
		<i>Vulpes vulpes pusilla</i> (India)
	<i>Vulpes zerda</i>	
<b>Eupleridae Fossa, falanouc, Malagasy civet</b>		
	<i>Cryptoprocta ferox</i>	
	<i>Eupleres goudotii</i>	
	<i>Fossa fossana</i>	
<b>Felidae Cats</b>		
	<b>Felidae spp.</b> (Except the species included in Appendix I. Specimens of the domesticated form are not subject to the provisions of the Convention)	
<b><i>Acinonyx jubatus</i> (Annual export quotas for live specimens and hunting trophies are granted as follows: Botswana: 5; Namibia: 150; Zimbabwe: 50. The trade in such specimens is subject to the provisions of Article III of the Convention)</b>		
<b><i>Caracal caracal</i> (Only the population of Asia; all other populations are</b>		

included in Appendix II)		
<i>Catopuma temminckii</i>		
<i>Felis nigripes</i>		
<i>Leopardus geoffroyi</i>		
<i>Leopardus jacobitus</i>		
<i>Leopardus pardalis</i>		
<i>Leopardus tigrinus</i>		
<i>Leopardus wiedii</i>		
<i>Lynx pardinus</i>		
<i>Neofelis 46uspidat</i>		
<i>Panthera leo persica</i>		
<i>Panthera onca</i>		
<i>Panthera pardus</i>		
<i>Panthera tigris</i>		
<i>Pardofelis marmorata</i>		
<i>Prionailurus bengalensis</i>		
<b><i>bengalensis</i> (Only the populations of Bangladesh, India and Thailand; all other populations are included in Appendix II)</b>		
<i>Prionailurus planiceps</i>		
<i>Prionailurus rubiginosus</i> (Only the population of India; all other populations are included in Appendix II)		
<i>Puma concolor coryi</i>		
<i>Puma concolor costaricensis</i>		
<i>Puma concolor cougar</i>		
<i>Puma yagouaroundi</i> (Only the populations of Central and North America; all other populations are		

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**included in  
Appendix II)*****Uncia uncia*****Herpestidae Mongooses*****Herpestes edwardsi*** (India,  
Pakistan)***Herpestes fuscus*** (India)***Herpestes javanicus*** (Pakistan)***Herpestes javanicus  
auropunctatus*** (India)***Herpestes smithii*** (India)***Herpestes urva*** (India)***Herpestes vitticollis*** (India)**Hyaenidae Aardwolf, hyenas*****Hyaena hyaena*** (Pakistan)***Proteles cristata*** (Botswana)**Mephitidae Hog-nosed skunk*****Conepatus  
humboldtii*****Mustelidae Badgers, martens, weasels, etc.****Lutrinae Otters****Lutrinae spp.**  
(Except the species  
included in  
Appendix I)***Aonyx capensis  
microdon* (Only the  
populations of  
Cameroon and  
Nigeria; all other  
populations are  
included in  
Appendix II)*****Enhydra lutris nereis******Lontra 47uspid******Lontra longicaudis******Lontra provocax******Lutra lutra******Lutra 47uspid******Pteronura  
brasiliensis*****Mustelinae Grisons, honey badger, martens, tayra, weasels*****Eira 47uspid*** (Honduras)***Galictis vittata*** (Costa Rica)***Martes flavigula*** (India)***Martes foina intermedia*** (India)***Martes gwatkinsii*** (India)

		<i>Mellivora capensis</i> (Botswana)
		<i>Mustela altaica</i> (India)
		<i>Mustela 48uspid ferghanae</i> (India)
		<i>Mustela kathiah</i> (India)
<b><i>Mustela nigripes</i></b>		
		<i>Mustela sibirica</i> (India)
<b>Odobenidae Walrus</b>		
		<i>Odobenus rosmarus</i> (Canada)
<b>Otariidae Fur seals, sealions</b>		
	<b><i>Arctocephalus</i> spp.</b> (Except the species included in Appendix I)	
<b><i>Arctocephalus townsendi</i></b>		
<b>Phocidae Seals</b>		
	<b><i>Mirounga 48uspida</i></b>	
<b><i>Monachus</i> spp.</b>		
<b>Procyonidae Coatis, kinkajou, olingos</b>		
		<i>Bassaricyon gabbii</i> (Costa Rica)
		<i>Bassariscus sumichrasti</i> (Costa Rica)
		<i>Nasua narica</i> (Honduras)
		<i>Nasua nasua solitaria</i> (Uruguay)
		<i>Potos flavus</i> (Honduras)
<b>Ursidae Bears, giant panda</b>		
	<b>Ursidae spp.</b> (Except the species included in Appendix I)	
<b><i>Ailuropoda melanoleuca</i></b>		
<b><i>Helarctos malayanus</i></b>		
<b><i>Melursus ursinus</i></b>		
<b><i>Tremarctos ornatus</i></b>		
<b><i>Ursus arctos</i> (Only the populations of Bhutan, China, Mexico and Mongolia; all other populations are included in Appendix II)</b>		
<b><i>Ursus arctos isabellinus</i></b>		
<b><i>Ursus thibetanus</i></b>		
<b>Viverridae Binturong, civets, linsangs, otter-civet, palm civets</b>		

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		<i>Arctictis binturong</i> (India)
		<i>Civettictis civetta</i> (Botswana)
	<i>Cynogale bennettii</i>	
	<i>Hemigalus derbyanus</i>	
		<i>Paguma larvata</i> (India)
		<i>Paradoxurus hermaphroditus</i> (India)
		<i>Paradoxurus jerdoni</i> (India)
	<i>Prionodon linsang</i>	
<i>Prionodon pardicolor</i>		
		<i>Viverra civettina</i> (India)
		<i>Viverra zibetha</i> (India)
		<i>Viverricula indica</i> (India)
<b>CETACEA Dolphins, porpoises, whales</b>		
	<b>CETACEA spp.</b> (Except the species included in Appendix I. A zero annual export quota has been established for live specimens from the Black Sea population of <i>Tursiops 49uspidate</i> removed from the wild and traded for primarily commercial purposes)	
<b>Balaenidae Bowhead whale, right whales</b>		
	<i>Balaena mysticetus</i>	
	<i>Eubalaena</i> spp.	
<b>Balaenopteridae Humpback whale, rorquals</b>		
	<i>Balaenoptera acutorostrata</i> (Except the population of West Greenland, which is included in Appendix II)	
	<i>Balaenoptera bonaerensis</i>	
	<i>Balaenoptera borealis</i>	
	<i>Balaenoptera edeni</i>	
	<i>Balaenoptera musculus</i>	

<i>Balaenoptera omurai</i>		
<i>Balaenoptera physalus</i>		
<i>Megaptera novaeangliae</i>		
<b>Delphinidae Dolphins</b>		
<i>Orcaella brevirostris</i>		
<i>Orcaella heinsohni</i>		
<i>Sotalia</i> spp.		
<i>Sousa</i> spp.		
<b>Eschrichtiidae Grey whale</b>		
<i>Eschrichtius robustus</i>		
<b>Iniidae River dolphins</b>		
<i>Lipotes vexillifer</i>		
<b>Neobalaenidae Pygmy right whale</b>		
<i>Caperea marginata</i>		
<b>Phocoenidae Porpoises</b>		
<i>Neophocaena phocaenoides</i>		
<i>Phocoena sinus</i>		
<b>Physeteridae Sperm whales</b>		
<i>Physeter</i>		
<i>50uspidate505050s</i>		
<b>Platanistidae River dolphins</b>		
<i>Platanista</i> spp.		
<b>Ziphiidae Beaked whales, bottle-nosed whales</b>		
<i>Berardius</i> spp.		
<i>Hyperoodon</i> spp.		
<b>CHIROPTERA</b>		
<b>Phyllostomidae Broad-nosed bat</b>		
		<i>Platyrrhinus lineatus</i> (Uruguay)
<b>Pteropodidae Fruit bats, flying foxes</b>		
	<i>Acerodon</i> spp. (Except the species included in Appendix I)	
<i>Acerodon jubatus</i>		
	<i>Pteropus</i> spp. (Except <i>Pteropus brunneus</i> and the species included in Appendix I)	
<i>Pteropus insularis</i>		
<i>Pteropus loochoensis</i>		
<i>Pteropus mariannus</i>		
<i>Pteropus molossinus</i>		

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*Pteropus pelewensis**Pteropus pilosus**Pteropus samoensis**Pteropus tonganus**Pteropus ualanus**Pteropus yapensis*

## CINGULATA

## Dasypodidae Armadillos

*Cabassous centralis* (Costa Rica)*Cabassous tatouay* (Uruguay)

*Chaetophractus  
nationi* (A zero  
annual export quota  
has been established.  
All specimens shall  
be deemed to be  
specimens of species  
included in  
Appendix I and the  
trade in them shall  
be regulated  
accordingly)

*Priodontes maximus*

## DASYUROMORPHIA

## Dasyuridae Dunnarts

*Sminthopsis**longicaudata**Sminthopsis**psammophila*

## DIPROTODONTIA

## Macropodidae Kangaroos, wallabies

*Dendrolagus  
inustus**Dendrolagus  
ursinus**Lagorchestes**hirsutus**Lagostrophus**fasciatus**Onychogalea**fraenata*

## Phalangeridae Cuscuses

*Phalanger  
intercastellanus  
Phalanger mimicus  
Phalanger orientalis*

	<i>Spilocuscus kraemeri</i>	
	<i>Spilocuscus maculatus</i>	
	<i>Spilocuscus papuensis</i>	
<b>Potoroidae Rat-kangaroos</b>		
	<i>Bettongia</i> spp.	
<b>Vombatidae Northern hairy-nosed wombat</b>		
	<i>Lasiorhinus krefftii</i>	
<b>LAGOMORPHA</b>		
<b>Leporidae Hispid hare, volcano rabbit</b>		
	<i>Caprolagus hispidus</i>	
	<i>Romerolagus diazi</i>	
<b>MONOTREMATA</b>		
<b>Tachyglossidae Echidnas, spiny anteaters</b>		
	<i>Zaglossus</i> spp.	
<b>PERAMELEMORPHIA</b>		
<b>Peramelidae Bandicoots, echymiperas</b>		
	<i>Perameles bougainville</i>	
<b>Thylacomyidae Bilbies</b>		
	<i>Macrotis lagotis</i>	
<b>PERISSODACTYLA</b>		
<b>Equidae Horses, wild asses, zebras</b>		
	<i>Equus africanus</i> (Excludes the domesticated form, which is referenced as <i>Equus asinus</i> , and is not subject to the provisions of the Convention)	
	<i>Equus grevyi</i>	
	<i>Equus hemionus</i> (Except the subspecies included in Appendix I)	
	<i>Equus hemionus hemionus</i>	
	<i>Equus hemionus khur</i>	
	<i>Equus kiang</i>	
	<i>Equus przewalskii</i>	
	<i>Equus zebra hartmannae</i>	
	<i>Equus zebra zebra</i>	

**Rhinocerotidae Rhinoceroses**

**Rhinocerotidae spp.**  
(Except the  
subspecies included  
in Appendix II)

*Ceratotherium simum simum* (Only the populations of South Africa and Swaziland; all other populations are included in Appendix I. For the exclusive purpose of allowing international trade in live animals to appropriate and acceptable destinations and hunting trophies. All other specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly)

**Tapiridae Tapirs**

**Tapiridae spp.**  
(Except the species  
included in  
Appendix II)

*Tapirus terrestris*

**PHOLIDOTA****Manidae Pangolins**

*Manis spp.* (A zero annual export quota has been established for *Manis crassicaudata*, *M. culionensis*, *M. javanica* and *M. pentadactyla* for specimens removed from the wild and traded for primarily

	commercial purposes)	
<b>PILOSA</b>		
<b>Bradypodidae Three-toed sloth</b>		
	Bradypus pygmaeus	
	<i>Bradypus variegatus</i>	
<b>Megalonychidae Two-toed sloth</b>		
		<i>Choloepus hoffmanni</i> (Costa Rica)
<b>Myrmecophagidae American anteaters</b>		
	<i>Myrmecophaga tridactyla</i>	
		<i>Tamandua 54uspidat</i> (Guatemala)
<b>PRIMATES Apes, monkeys</b>		
	<b>PRIMATES spp.</b> (Except the species included in Appendix I)	
<b>Atelidae Howler and prehensile-tailed monkeys</b>		
	<i>Alouatta coibensis</i>	
	<i>Alouatta 54uspidat</i>	
	<i>Alouatta pigra</i>	
	<i>Ateles geoffroyi frontatus</i>	
	<i>Ateles geoffroyi panamensis</i>	
	<i>Brachyteles arachnoides</i>	
	<i>Brachyteles hypoxanthus</i>	
	<i>Oreonax flavicauda</i>	
<b>Cebidae New World monkeys</b>		
	<i>Callimico goeldii</i>	
	<i>Callithrix aurita</i>	
	<i>Callithrix flaviceps</i>	
	<i>Leontopithecus spp.</i>	
	<i>Saguinus bicolor</i>	
	<i>Saguinus geoffroyi</i>	
	<i>Saguinus leucopus</i>	
	<i>Saguinus martinsi</i>	
	<i>Saguinus 54uspida</i>	
	<i>Saimiri oerstedii</i>	
<b>Cercopithecidae Old World monkeys</b>		
	<i>Cercocebus galeritus</i>	
	<i>Cercopithecus diana</i>	
	<i>Cercopithecus roloway</i>	

*Macaca 55uspida*

*Mandrillus*

*leucophaeus*

*Mandrillus sphinx*

*Nasalis larvatus*

*Ptilocolobus kirkii*

*Ptilocolobus*

*rufomitratu*

*Presbytis potenziani*

*Pygathrix spp.*

*Rhinopithecus spp.*

*Semnopithecus ajax*

*Semnopithecus*

*dussumieri*

*Semnopithecus*

*entellus*

*Semnopithecus*

*hector*

*Semnopithecus*

*hypoleucos*

*Semnopithecus*

*priam*

*Semnopithecus*

*schistaceus*

*Simias concolor*

*Trachypithecus geei*

*Trachypithecus*

*pileatus*

*Trachypithecus*

*shortridgei*

**Cheirogaleidae Dwarf lemurs**

**Cheirogaleidae spp.**

**Daubentoniidae Aye-aye**

*Daubentonia*

*madagascariensis*

**Hominidae Chimpanzees, gorilla, orang-utan**

*Gorilla beringei*

*Gorilla gorilla*

*Pan spp.*

*Pongo abelii*

*Pongo pygmaeus*

**Hylobatidae Gibbons**

**Hylobatidae spp.**

**Indriidae Avahi, indris, sifakas, woolly lemurs**

**Indriidae spp.**

**Lemuridae Large lemurs**

**Lemuridae spp.**

<b>Lepilemuridae Sportive lemurs</b>		
<b>Lepilemuridae spp.</b>		
<b>Lorisidae Lorises</b>		
<i>Nycticebus</i> spp.		
<b>Pitheciidae Sakis and uakaris</b>		
<i>Cacajao</i> spp.		
<i>Chiropotes albinasus</i>		
<b>PROBOSCIDEA</b>		
<b>Elephantidae Elephants</b>		
<i>Elephas maximus</i>		
<i>Loxodonta 56uspidat</i> (Except the populations of Botswana, Namibia, South Africa and Zimbabwe, which are included in Appendix II)		
	<i>Loxodonta africana</i> <sup>6</sup> (Only the populations of Botswana, Namibia, South Africa and Zimbabwe; all other populations are included in Appendix I)	
<b>RODENTIA</b>		
<b>Chinchillidae Chinchillas</b>		
<i>Chinchilla</i> spp. (Specimens of the domesticated form are not subject to the provisions of the Convention)		
<b>Cuniculidae Paca</b>		
		<i>Cuniculus paca</i> (Honduras)
<b>Dasyproctidae Agouti</b>		
		<i>Dasyprocta 56uspidat</i> (Honduras)
<b>Erethizontidae New World porcupines</b>		
		<i>Sphiggurus mexicanus</i> (Honduras)
		<i>Sphiggurus spinosus</i> (Uruguay)
<b>Muridae Mice, rats</b>		
<i>Leporillus conditor</i>		
<i>Pseudomys fieldi</i> <i>praeconis</i>		
<i>Xeromys myoides</i>		

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<i>Zyomys pedunculatus</i>		
<b>Sciuridae Ground squirrels, tree squirrels</b>		
<i>Cynomys mexicanus</i>		
		<i>Marmota 57uspida</i> (India)
		<i>Marmota himalayana</i> (India)
	<b><i>Ratufa</i> spp.</b>	
		<i>Sciurus deppei</i> (Costa Rica)
<b>SCANDENTIA Tree shrews</b>		
	<b>SCANDENTIA spp.</b>	
<b>SIRENIA</b>		
<b>Dugongidae Dugong</b>		
<i>Dugong dugon</i>		
<b>Trichechidae Manatees</b>		
<i>Trichechus inunguis</i>		
<i>Trichechus manatus</i>		
<i>Trichechus senegalensis</i>		
<b>CLASS AVES (BIRDS)</b>		
<b>ANSERIFORMES</b>		
<b>Anatidae Ducks, geese, swans, etc.</b>		
<i>Anas aucklandica</i>		
	<i>Anas bernieri</i>	
<i>Anas chlorotis</i>		
	<i>Anas 57uspida</i>	
<i>Anas laysanensis</i>		
<i>Anas nesiotis</i>		
<i>Asarcornis scutulata</i>		
<i>Branta 57uspidate57 leucopareia</i>		
	<i>Branta ruficollis</i>	
<i>Branta sandvicensis</i>		
		<i>Cairina moschata</i> (Honduras)
	<i>Coscoroba coscoroba</i>	
	<i>Cygnus melancoryphus</i>	
	<i>Dendrocygna arborea</i>	
		<i>Dendrocygna autumnalis</i> (Honduras)
		<i>Dendrocygna bicolor</i> (Honduras)
	<i>Oxyura leucocephala</i>	

<i>Rhodonessa caryophyllacea</i> (Possibly extinct)		
	<i>Sarkidiornis melanotos</i>	
<b>APODIFORMES</b>		
<b>Trochilidae Hummingbirds</b>		
	<b>Trochilidae spp.</b> (Except the species included in Appendix I)	
<i>Glaucis dohrnii</i>		
<b>CHARADRIIFORMES</b>		
<b>Burhinidae Thick-knee</b>		
		<i>Burhinus bistriatus</i> (Guatemala)
<b>Laridae Gull</b>		
<i>Larus relictus</i>		
<b>Scolopacidae Curlews, greenshanks</b>		
<i>Numenius borealis</i>		
<i>Numenius tenuirostris</i>		
<i>Tringa guttifer</i>		
<b>CICONIIFORMES</b>		
<b>Balaenicipitidae Shoebill, whale-headed stork</b>		
	<i>Balaeniceps rex</i>	
<b>Ciconiidae Storks</b>		
<i>Ciconia boyciana</i>		
	<i>Ciconia nigra</i>	
<i>Jabiru mycteria</i>		
<i>Mycteria cinerea</i>		
<b>Phoenicopteridae Flamingos</b>		
	<b>Phoenicopteridae spp.</b>	
<b>Threskiornithidae Ibises, spoonbills</b>		
	<i>Eudocimus ruber</i>	
	<i>Geronticus calvus</i>	
<i>Geronticus eremita</i>		
<i>Nipponia 58uspid</i>		
	<i>Platalea leucorodia</i>	
<b>COLUMBIFORMES</b>		
<b>Columbidae Doves, pigeons</b>		
<i>Caloenas nicobarica</i>		
<i>Ducula mindorensis</i>		
	<i>Gallicolumba luzonica</i>	
	<i>Goura spp.</i>	
	<i>Nesoenas mayeri</i> (Mauritius)	

**CORACIIFORMES****Bucerotidae Hornbills**

*Aceros* spp. (Except the species included in Appendix I)

*Aceros nipalensis*

*Anorrhinus* spp.

*Anthracoceros* spp.

*Berenicornis* spp.

*Buceros* spp.

(Except the species included in Appendix I)

*Buceros bicornis*

*Penelopides* spp.

*Rhinoplax vigil*

*Rhyticeros* spp.

(Except the species included in Appendix I)

*Rhyticeros subruficollis*

**CUCULIFORMES****Musophagidae Turacos**

*Tauraco* spp.

**FALCONIFORMES Eagles, falcons, hawks, vultures****FALCONIFORMES**

*S* spp. (Except *Caracara lutosa* and the species of the family Cathartidae, which are not included in the Appendices; and the species included in Appendices I and III)

**Accipitridae Hawks, eagles**

*Aquila adalberti*

*Aquila 59uspidat*

*Chondrohierax*

*uncinatus*

*wilsonii*

*Haliaeetus albicilla*

*Harpia harpyja*

*Pithecophaga jefferyi*

**Cathartidae New World vultures**

<i>Gymnogyps californianus</i>		
		<i>Sarcoramphus papa</i> (Honduras)
<i>Vultur gryphus</i>		
<b>Falconidae Falcons</b>		
<i>Falco araeus</i>		
<i>Falco jugger</i>		
<i>Falco newtoni</i> (Only the population of Seychelles)		
<i>Falco pelegrinoides</i>		
<i>Falco peregrinus</i>		
<i>Falco punctatus</i>		
<i>Falco rusticolus</i>		
<b>GALLIFORMES</b>		
<b>Cracidae Chachalacas, curassows, guans</b>		
		<i>Crax alberti</i> (Colombia)
<i>Crax blumenbachii</i>		
		<i>Crax daubentoni</i> (Colombia)
		<i>Crax globulosa</i> (Colombia)
		<i>Crax rubra</i> (Colombia, Costa Rica, Guatemala, Honduras)
<i>Mitu mitu</i>		
<i>Oreophasis derbianus</i>		
		<i>Ortalis vetula</i> (Guatemala, Honduras)
		<i>Pauxi pauxi</i> (Colombia)
<i>Penelope albipennis</i>		
		<i>Penelope purpurascens</i> (Honduras)
		<i>Penelopina nigra</i> (Guatemala)
<i>Pipile jacutinga</i>		
<i>Pipile pipile</i>		
<b>Megapodiidae Megapodes, scrubfowl</b>		
<i>Macrocephalon maleo</i>		
<b>Phasianidae Grouse, guineafowl, partridges, pheasants, tragopans</b>		
		<i>Argusianus argus</i>
<i>Catreus wallichii</i>		
<i>Colinus virginianus ridgwayi</i>		
<i>Crossoptilon crossoptilon</i>		
<i>Crossoptilon mantchuricum</i>		
		<i>Gallus sonneratii</i>

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	<i>Ithaginis cruentus</i>	
<i>Lophophorus impejanus</i>		
<i>Lophophorus lhuysii</i>		
<i>Lophophorus sclateri</i>		
<i>Lophura edwardsi</i>		
		<i>Lophura leucomelanos</i> (Pakistan)
<i>Lophura swinhoii</i>		
		<i>Meleagris ocellata</i> (Guatemala)
		<i>Pavo cristatus</i> (Pakistan)
	<i>Pavo muticus</i>	
	<i>Polyplectron bicalcaratum</i>	
	<i>Polyplectron germaini</i>	
	<i>Polyplectron malacense</i>	
<i>Polyplectron napoleonis</i>		
	<i>Polyplectron schleiermacheri</i>	
		<i>Pucrasia macrolopha</i> (Pakistan)
<i>Rheinardia ocellata</i>		
<i>Syrmaticus ellioti</i>		
<i>Syrmaticus humiae</i>		
<i>Syrmaticus 61uspid</i>		
<i>Tetraogallus caspius</i>		
<i>Tetraogallus tibetanus</i>		
<i>Tragopan blythii</i>		
<i>Tragopan caboti</i>		
<i>Tragopan melanocephalus</i>		
		<i>Tragopan satyra</i> (Nepal)
	<i>Tympanuchus cupido attwateri</i>	
<b>GRUIFORMES</b>		
<b>Gruidae Cranes</b>		
	<b>Gruidae spp.</b> (Except the species included in Appendix I)	
<i>Grus 61uspidate</i>		
<i>Grus 61uspidate61 nesiototes</i>		
<i>Grus 61uspidate61 pulla</i>		

<i>Grus japonensis</i>		
<i>Grus leucogeranus</i>		
<i>Grus monacha</i>		
<i>Grus nigricollis</i>		
<i>Grus vipio</i>		
<b>Otididae Bustards</b>		
	<b>Otididae spp.</b>	
	(Except the species	
	included in	
	Appendix I)	
<i>Ardeotis nigriceps</i>		
<i>Chlamydotis</i>		
<i>macqueenii</i>		
<i>Chlamydotis</i>		
<i>undulata</i>		
<i>Houbaropsis</i>		
<i>bengalensis</i>		
<b>Rallidae Rail</b>		
<i>Gallirallus sylvestris</i>		
<b>Rhynochetidae Kagu</b>		
<i>Rhynochetos jubatus</i>		
<b>PASSERIFORMES</b>		
<b>Atrichornithidae Scrub-bird</b>		
<i>Atrichornis clamosus</i>		
<b>Cotingidae Cotingas</b>		
		<i>Cephalopterus ornatus</i>
		(Colombia)
		<i>Cephalopterus penduliger</i>
		(Colombia)
<i>Cotinga 62uspidat</i>		
	<b>Rupicola spp.</b>	
<i>Xipholena</i>		
<i>atropurpurea</i>		
<b>Emberizidae Cardinals, tanagers</b>		
	<i>Gubernatrix cristata</i>	
	<i>Paroaria 62uspidat</i>	
	<i>Paroaria 62uspidat</i>	
	<i>Tangara fastuosa</i>	
<b>Estrildidae Mannikins, waxbills</b>		
	<i>Amandava 62uspida</i>	
	<i>Lonchura oryzivora</i>	
	<i>Poephila cincta</i>	
	<i>cincta</i>	
<b>Fringillidae Finches</b>		
<i>Carduelis cucullata</i>		
	<i>Carduelis yarrellii</i>	
<b>Hirundinidae Martin</b>		

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<i>Pseudochelidon sirintarae</i>		
<b>Icteridae Blackbird</b>		
<i>Xanthopsar flavus</i>		
<b>Meliphagidae Honeyeater</b>		
<i>Lichenostomus melanops cassidix</i>		
<b>Muscicapidae Old World flycatchers</b>		
		<i>Acrocephalus rodericanus</i> (Mauritius)
	<i>Cyornis ruckii</i>	
<i>Dasyornis broadbenti litoralis</i> (Possibly extinct)		
<i>Dasyornis longirostris</i>		
	<i>Garrulax canorus</i>	
	<i>Garrulax taewanus</i>	
	<i>Leiothrix argenteauris</i>	
	<i>Leiothrix lutea</i>	
	<i>Liocichla omeiensis</i>	
<i>Picathartes gymnocephalus</i>		
<i>Picathartes oreas</i>		<i>Terpsiphone bourbonnensis</i> (Mauritius)
<b>Paradisaeidae Birds of paradise</b>		
	<b>Paradisaeidae spp.</b>	
<b>Pittidae Pittas</b>		
	<i>Pitta guajana</i>	
<i>Pitta gurneyi</i>		
<i>Pitta kochi</i>		
	<i>Pitta nympha</i>	
<b>Pycnonotidae Bulbul</b>		
	<i>Pycnonotus zeylanicus</i>	
<b>Sturnidae Mynahs (Starlings)</b>		
	<i>Gracula religiosa</i>	
<i>Leucopsar rothschildi</i>		
<b>Zosteropidae White-eye</b>		
<i>Zosterops albogularis</i>		
<b>PELECANIFORMES</b>		
<b>Fregatidae Frigatebird</b>		
<i>Fregata andrewsi</i>		

**Pelecanidae Pelican***Pelecanus crispus***Sulidae Booby***Papasula abbotti***PICIFORMES****Capitonidae Barbet***Semnornis ramphastinus*  
(Colombia)**Picidae Woodpeckers***Dryocopus javensis richardsi***Ramphastidae Toucans***Bailloniuss bailloni* (Argentina)*Pteroglossus aracari**Pteroglossus castanotis*  
(Argentina)*Pteroglossus viridis**Ramphastos dicolorus* (Argentina)*Ramphastos sulfuratus**Ramphastos toco**Ramphastos tucanus**Ramphastos vitellinus**Selenidera maculirostris*  
(Argentina)**PODICIPEDIFORMES****Podicipedidae Grebe***Podilymbus gigas***PROCELLARIIFORMES****Diomedidae Albatross***Phoebastria albatrus***PSITTACIFORMES****PSITTACIFORMES****S spp.** (Except the species included in Appendix I and *Agapornis roseicollis*, *Melopsittacus 64uspidate*, *Nymphicus hollandicus* and *Psittacula krameri*, which are not included in the Appendices)**Cacatuidae Cockatoos**

*Cacatua goffiniana**Cacatua**haematuropygia**Cacatua moluccensis**Cacatua sulphurea**Probosciger**aterrimus***Loriidae Lorries, lorikeets***Eos histrio**Vini 65uspidate6565***Psittacidae Amazons, macaws, parakeets, parrots***Amazona arausiaca**Amazona**auropalliata**Amazona**barbadensis**Amazona brasiliensis**Amazona finschi**Amazona guildingii**Amazona imperialis**Amazona**leucocephala**Amazona oratrix**Amazona pretrei**Amazona**rhodocorytha**Amazona tucumana**Amazona versicolor**Amazona vinacea**Amazona**viridigenalis**Amazona vittata**Anodorhynchus spp.**Ara 65uspidate**Ara glaucogularis**Ara macao**Ara militaris**Ara rubrogenys**Cyanopsitta spixii**Cyanoramphus**65uspid**Cyanoramphus**forbesi**Cyanoramphus**novaezelandiae**Cyanoramphus**saisseti*

<i>Cyclopsitta diophthalma coxeni</i>		
<i>Eunymphicus cornutus</i>		
<i>Guarouba guarouba</i>		
<i>Neophema chrysogaster</i>		
<i>Ognorhynchus icterotis</i>		
<i>Pezoporus occidentalis</i> (Possibly extinct)		
<i>Pezoporus wallicus</i>		
<i>Pionopsitta pileata</i>		
<i>Primolius couloni</i>		
<i>Primolius maracana</i>		
<i>Psephotus chrysopterygius</i>		
<i>Psephotus dissimilis</i>		
<i>Psephotus pulcherrimus</i> (Possibly extinct)		
<i>Psittacula echo</i>		
<i>Pyrrhura cruentata</i>		
<i>Rhynchopsitta</i> spp.		
<i>Strigops habroptilus</i>		
<b>RHEIFORMES</b>		
<b>Rheidae Rheas</b>		
<i>Pterocnemia pennata</i> (Except <i>Pterocnemia pennata pennata</i> which is included in Appendix II)		
	<i>Pterocnemia pennata pennata</i>	
	<i>Rhea 66uspidate</i>	
<b>SPHENISCIFORMES</b>		
<b>Spheniscidae Penguins</b>		
	<i>Spheniscus demersus</i>	
<i>Spheniscus humboldti</i>		
<b>STRIGIFORMES Owls</b>		
	<b>STRIGIFORMES</b>	
	<b>spp.</b> (Except <i>Sceloglaux albifacies</i> and the	

species included in  
Appendix I)

**Strigidae Owls***Heteroglaux blewitti**Mimizuku gurneyi**Ninox natalis**Ninox**novaeseelandiae**undulata***Tytonidae Barn owls***Tyto soumagnei***STRUTHIONIFORMES****Struthionidae Ostrich***Struthio camelus*

(Only the  
populations of  
Algeria, Burkina  
Faso, Cameroon, the  
Central African  
Republic, Chad,  
Mali, Mauritania,  
Morocco, the Niger,  
Nigeria, Senegal and  
the Sudan; all other  
populations are not  
included in the  
Appendices)

**TINAMIFORMES****Tinamidae Tinamous***Tinamus**67uspidate67***TROGONIFORMES****Trogonidae Quetzals***Pharomachrus**mocinno***CLASS REPTILIA****(REPTILES)****CROCODYLIA Alligators, caimans, crocodiles****CROCODYLIA**

**spp.** (Except the  
species included in  
Appendix I)

**Alligatoridae Alligators, caimans***Alligator sinensis**Caiman crocodilus**apaporiensis*

<b><i>Caiman latirostris</i></b> (Except the population of Argentina, which is included in Appendix II)		
<b><i>Melanosuchus niger</i></b> (Except the population of Brazil, which is included in Appendix II, and the population of Ecuador, which is included in Appendix II and is subject to a zero annual export quota until an annual export quota has been approved by the CITES Secretariat and the IUCN/SSC Crocodile Specialist Group)		
<b>Crocodylidae Crocodiles</b>		
<b><i>Crocodylus acutus</i></b> (Except the population of Cuba, which is included in Appendix II)		
<b><i>Crocodylus cataphractus</i></b>		
<b><i>Crocodylus intermedius</i></b>		
<b><i>Crocodylus mindorensis</i></b>		
<b><i>Crocodylus moreletii</i></b> [except the populations of Belize and Mexico which are included in Appendix II with a zero quota for wild specimens traded for commercial purposes]		

*Crocodylus niloticus*  
[Except the populations of Botswana, Egypt (subject to a zero quota for wild specimens traded for commercial purposes), Ethiopia, Kenya, Madagascar, Malawi, Mozambique, Namibia, South Africa, Uganda, the United Republic of Tanzania (subject to an annual export quota of no more than 1,600 wild specimens including hunting trophies, in addition to ranched specimens), Zambia and Zimbabwe, which are included in Appendix II]

*Crocodylus palustris*

*Crocodylus porosus*  
(Except the populations of Australia, Indonesia and Papua New Guinea, which are included in Appendix II)

*Crocodylus rhombifer*

*Crocodylus siamensis*

*Osteolaemus tetraspis*

*Tomistoma schlegelii*

Gavialidae Gavia

*Gavialis gangeticus*

**RHYNCHOCEPHALIA**

Sphenodontidae Tuatara

*Sphenodon* spp.

**SAURIA**

Agamidae Agamas, mastigures

	<i>Saara</i> spp.	
	<i>Uromastyx</i> spp.	
<b>Chamaeleonidae Chameleons</b>		
	<i>Archaius</i> spp.	
	<i>Bradypodion</i> spp.	
	<i>Brookesia</i> spp. (Except the species included in Appendix I)	
<i>Brookesia perarmata</i>		
	<i>Calumma</i> spp.	
	<i>Chamaeleo</i> spp.	
	<i>Furcifer</i> spp.	
	<i>Kinyongia</i> spp.	
	<i>Nadzikambia</i> spp.	
	<i>Trioceros</i> spp.	
<b>Cordylidae Spiny-tailed lizards</b>		
	<i>Cordylus</i> spp.	
<b>Gekkonidae Geckos</b>		
		<i>Hoplodactylus</i> spp. (New Zealand)
	<i>Nactus serpensinsula</i>	
	<i>Naultinus</i> spp.	
	<i>Phelsuma</i> spp.	
	<i>Uroplatus</i> spp.	
<b>Helodermatidae Beaded lizard, gila monster</b>		
	<i>Heloderma</i> spp. (Except the subspecies included in Appendix I)	
<i>Heloderma horridum charlesbogerti</i>		
<b>Iguanidae Iguanas</b>		
	<i>Amblyrhynchus cristatus</i>	
<i>Brachylophus</i> spp.		
	<i>Conolophus</i> spp.	
	<i>Ctenosaura bakeri</i>	
	<i>Ctenosaura oedirhina</i>	
	<i>Ctenosaura melanosterna</i>	
	<i>Ctenosaura oedirhina</i>	
	<i>Ctenosaura palearis</i>	
<i>Cyclura</i> spp.		

	<i>Iguana</i> spp.	
	<i>Phrynosoma blainvillii</i>	
	<i>Phrynosoma cerroense</i>	
	<i>Phrynosoma coronatum</i>	
	<i>Phrynosoma wigginsii</i>	
<b>Sauromalus varius</b>		
<b>Lacertidae Lizards</b>		
<i>Gallotia simonyi</i>		
	<i>Podarcis lilfordi</i>	
	<i>Podarcis pityusensis</i>	
<b>Scincidae Skinks</b>		
	<i>Corucia zeburata</i>	
<b>Teiidae Caiman lizards, tegu lizards</b>		
	<i>Crocodylurus amazonicus</i>	
	<i>Dracaena</i> spp.	
	<i>Tupinambis</i> spp.	
<b>Varanidae Monitor lizards</b>		
	<i>Varanus</i> spp. (Except the species included in Appendix I)	
	<i>Varanus bengalensis</i>	
	<i>Varanus flavescens</i>	
	<i>Varanus griseus</i>	
	<i>Varanus komodoensis</i>	
	<i>Varanus nebulosus</i>	
<b>Xenosauridae Chinese crocodile lizard</b>		
	<i>Shinisaurus crocodilurus</i>	
<b>SERPENTES Snakes</b>		
<b>Boidae Boas</b>		
	<b>Boidae</b> spp. (Except the species included in Appendix I)	
	<i>Acrantophis</i> spp.	
	<i>Boa constrictor occidentalis</i>	
	<i>Epicrates inornatus</i>	
	<i>Epicrates monensis</i>	
	<i>Epicrates subflavus</i>	

***Sanzinia  
madagascariensis*****Bolyeriidae Round Island boas**

**Bolyeriidae spp.**  
(Except the species  
included in  
Appendix I)

***Bolyeria  
multocarinata******Casarea dussumieri*****Colubridae Typical snakes, water snakes, whipsnakes***Atretium schistosum* (India)*Cerberus rynchops* (India)*Clelia 72uspid**Cyclagras gigas**Elachistodon  
westermanni**Ptyas mucosus**Xenochrophis piscator* (India)**Elapidae Cobras, coral snakes***Hoplocephalus  
bungaroides**Micrurus diastema* (Honduras)*Micrurus nigrocinctus* (Honduras)*Naja atra**Naja kaouthia**Naja mandalayensis**Naja naja**Naja oxiana**Naja philippinensis**Naja sagittifera**Naja samarensis**Naja siamensis**Naja sputatrix**Naja sumatrana**Ophiophagus  
72uspid***Loxocemidae Mexican dwarf boa****Loxocemidae spp.****Pythonidae Pythons**

**Pythonidae spp.**  
(Except the  
subspecies included  
in Appendix I)

***Python molurus  
molurus*****Tropidophiidae Wood boas**

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	<b>Tropidophiidae</b> <b>spp.</b>	
<b>Viperidae Vipers</b>		
		<i>Crotalus durissus</i> (Honduras) <i>Daboia russelii</i> (India)
	<i>Trimeresurus mangshanensis</i>	
<b><i>Vipera ursinii</i> (Only the population of Europe, except the area which formerly constituted the Union of Soviet Socialist Republics; these latter populations are not included in the Appendices)</b>		
	<i>Vipera wagneri</i>	
<b>TESTUDINES</b>		
<b>Carettochelyidae Pig-nosed turtles</b>		
	<i>Carettochelys insculpta</i>	
<b>Chelidae Austro-American side-necked turtles</b>		
	<i>Chelodina mccordi</i> (Zero export quota for specimens from the wild)	
<i>Pseudemydura umbrina</i>		
<b>Cheloniidae Marine turtles</b>		
<b>Cheloniidae spp.</b>		
<b>Chelydridae Snapping turtles</b>		
		<i>Macrochelys temminckii</i> (United States of America)
<b>Dermatemydidae Central American river turtle</b>		
	<i>Dermatemys mawii</i>	
<b>Dermochelyidae Leatherback turtle</b>		
<i>Dermochelys coriacea</i>		
<b>Emydidae Box turtles, freshwater turtles</b>		
	<i>Clemmys guttata</i> <i>Emydoidea blandingii</i> <i>Glyptemys insculpta</i>	
<i>Glyptemys muhlenbergii</i>		

		<b>Graptemys spp.</b> (United States of America)
	<b>Malaclemys terrapin</b>	
	<b>Terrapene spp.</b> (Except the species included in Appendix I)	
	<b>Terrapene 74uspidat</b>	
	<b>Geoemydidae Box turtles, freshwater turtles</b>	
	<b>Batagur affinis</b>	
	<b>Batagur baska</b>	
	<b>Batagur borneoensis</b> <sup>1</sup>	
	<b>Batagur dhongoka</b>	
	<b>Batagur kachuga</b>	
	<b>Batagur trivittata</b> <sup>1</sup>	
	<b>Cuora spp.</b> (Zero quota for wild specimens for commercial purposes for <i>Cuora aurocapitata</i> , <i>C. flavomarginata</i> , <i>C. galbinifrons</i> , <i>C. mccordi</i> , <i>C. mouhotii</i> , <i>C. pani</i> , <i>C. trifasciata</i> , <i>C. yunnanensis</i> and <i>C. zhoui</i> )	
	<b>Cyclemys spp.</b>	
	<b>Geoclemys hamiltonii</b>	
	<b>Geoemyda japonica</b>	
	<b>Geoemyda spengleri</b>	
	<b>Hardella thurjii</b>	
	<b>Heosemys annandalii</b> <sup>1</sup>	
	<b>Heosemys depressa</b> <sup>1</sup>	
	<b>Heosemys grandis</b>	
	<b>Heosemys 74uspida</b>	
	<b>Leucocephalon yuwonoi</b>	
	<b>Malayemys macrocephala</b>	
	<b>Malayemys subtrijuga</b>	

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	<i>Mauremys annamensis</i> <sup>1</sup>	
		<i>Mauremys iversoni</i> (China)
	<i>Mauremys japonica</i>	
		<i>Mauremys megalcephala</i> (China)
	<i>Mauremys mutica</i>	
	<i>Mauremys nigricans</i>	
		<i>Mauremys pritchardi</i> (China)
		<i>Mauremys reevesii</i> (China)
		<i>Mauremys sinensis</i> (China)
<b>Melanochelys tricarinata</b>		
	<i>Melanochelys trijuga</i>	
<b>Morenia ocellata</b>		
	<i>Morenia petersi</i>	
	<i>Notochelys platynota</i>	
		<i>Ocadia glyphistoma</i> (China)
		<i>Ocadia philippeni</i> (China)
	<i>Orlitia borneensis</i> <sup>1</sup>	
	<b>Pangshura spp.</b> (Except the species included in Appendix I)	
<b>Pangshura tecta</b>		
	<i>Sacalia bealei</i>	
		<i>Sacalia pseudocellata</i> (China)
	<i>Sacalia quadriocellata</i>	
	<i>Siebenrockiella crassicollis</i>	
	<i>Siebenrockiella leytensis</i>	
	<i>Vijayachelys 75uspidate</i>	
<b>Platysternidae Big-headed turtle</b>		
<b>Platysternidae spp.</b>		
<b>Podocnemididae Afro-American side-necked turtles</b>		
	<i>Erymnochelys madagascariensis</i>	
	<i>Peltocephalus dumerilianus</i>	
	<b>Podocnemis spp.</b>	
<b>Testudinidae Tortoises</b>		

	<b>Testudinidae spp.</b> (Except the species included in Appendix I. A zero annual export quota has been established for <i>Geochelone 76uspida</i> for specimens removed from the wild and traded for primarily commercial purposes)	
<i>Astrochelys 76uspida</i>		
<i>Astrochelys yniphora</i>		
<i>Chelonoidis nigra</i>		
<i>Geochelone platynota</i>		
<i>Gopherus flavomarginatus</i>		
<i>Psammobates geometricus</i>		
<i>Pyxis arachnoides</i>		
<i>Pyxis planicauda</i>		
<i>Testudo kleinmanni</i>		
<b>Trionychidae Softshell turtles, terrapins</b>		
<i>Amyda cartilaginea</i>		
<i>Apalone spinifera atra</i>		
	<b>Chitraspp.</b> (Except the species included in Appendix I)	
<i>Chitra chitra</i>		
<i>Chitra vandijki</i>		
	<i>Dogania subplana</i>	
	<i>Lissemys ceylonensis</i>	
	<i>Lissemys 76uspidat</i>	
	<i>Lissemys scutata</i>	
	<i>Nilssonia 76uspida</i>	
<i>Nilssonia gangetica</i>		
<i>Nilssonia hurum</i>		
	<i>Nilssonia leithii</i>	
<i>Nilssonia nigricans</i>		
	<i>Palea steindachneri</i>	
	<i>Pelochelys spp.</i>	
	<i>Pelodiscus axenaria</i>	
	<i>Pelodiscus maackii</i>	

*Pelodiscus  
parviformis*  
*Rafetus swinhoi*

**CLASS AMPHIBIA  
(AMPHIBIANS)**

**ANURA**

**Aromobatidae Fragrant frogs**

*Allobates femoralis*  
*Allobates hodli*  
*Allobates myersi*  
*Allobates rufulus*  
*Allobates zaparo*

**Bufonidae Toads**

*Amietophrynus  
superciliaris*  
*Altiphrynoides spp.*  
*Atelopus zeteki*  
*Incilius periglenes*  
*Nectophrynoides spp.*  
*Nimbaphrynoides  
spp.*

**Calyptocephalellidae Chilean toads**

*Calyptocephalella gayi* (Chile)

**Dendrobatidae Poison frogs**

*Adelphobates spp.*  
*Ameerega spp.*  
*Andinobates spp.*  
*Dendrobates spp.*  
*Epipedobates spp.*  
*Excidobates spp.*  
*Hyloxalus  
azureiventris*  
*Minyobates spp.*  
*Oophaga spp.*  
*Phyllobates spp.*  
*Ranitomeya spp.*

**Dicroglossidae Frogs**

*Euphlyctis  
hexadactylus*  
*Hoplobatrachus  
tigerinus*

**Hylidae Tree frogs**

*Agalychnis spp.*

**Mantellidae Mantellas**

*Mantella spp.*

**Microhylidae Red rain frog, tomato frog**

*Dyscophus antongilii*

*Scaphiophryne  
gottlebei***Myobatrachidae Gastric-brooding frogs***Rheobatrachus* spp.

(Except

*Rheobatrachus silus*  
and *Rheobatrachus*  
*vitellinus*)**CAUDATA****Ambystomatidae Axolotls***Ambystoma  
dumerilii**Ambystoma  
mexicanum***Cryptobranchidae Hellbender and giant salamanders***Andrias* spp.*Cryptobranchus alleganiensis*  
(United States of America)**Hynobiidae Asiatic salamanders***Hynobius amjiensis* (China)**Salamandridae Newts and salamanders***Neuregus kaiseri***CLASS ELASMOBRANCHII  
(SHARKS)****CARCHARHINIFORMES****Carcharhinidae Requiem sharks***Carcharhinus  
longimanus***Sphyrnidae Hammerhead sharks***Sphyrna lewini**Sphyrna mokarran**Sphyrna zygaena***LAMNIFORMES****Cetorhinidae Basking shark***Cetorhinus  
maximus***Lamnidae Mackerel sharks***Carcharodon  
carcharias**Lamna nasus***ORECTOLOBIFORMES****Rhincodontidae Whale shark***Rhincodon typus***PRISTIFORMES****Pristidae Sawfishes***Pristidae* spp.**RAJIFORMES**

**Mobulidae Mobulid rays***Manta* spp.**CLASS ACTINOPTERYGII  
(FISHES)****ACIPENSERIFORMES Paddlefishes, sturgeons****ACIPENSERIFORMES** spp. (Except the species included in Appendix I)**Acipenseridae Sturgeons***Acipenser brevirostrum**Acipenser sturio***ANGUILLIFORMES****Anguillidae Freshwater eels***Anguilla 79uspidat***CYPRINIFORMES****Catostomidae Cui-ui***Chasmistes cujus***Cyprinidae Blind carps, plaecesok***Caecobarbus geertsii**Probarbus jullieni***OSTEOGLOSSIFORMES****Arapaimidae Arapaimas***Arapaima gigas***Osteoglossidae Bonytongue***Scleropages formosus* <sup>8</sup>**PERCIFORMES****Labridae Wrasses***Cheilinus 79uspidate***Sciaenidae Totoaba***Totoaba macdonaldi***SILURIFORMES****Pangasiidae Pangasid catfish***Pangasianodon gigas***SYNGNATHIFORMES****Syngnathidae Pipefishes, seahorses***Hippocampus* spp.**CLASS SARCOPTERYGII  
(LUNGFISHES)****CERATODONTIFORMES****Ceratodontidae Australian lungfish***Neoceratodus forsteri*

**COELACANTHIFORMES****Latimeriidae Coelacanths***Latimeria* spp.**PHYLUM ECHINODERMATA****CLASS HOLOTHUROIDEA****(SEA CUCUMBERS)****ASPIDOCHIROTIDA****Stichopodidae Sea cucumbers***Isostichopus fuscus* (Ecuador)**PHYLUM ARTHROPODA****CLASS ARACHNIDA****(SCORPIONS AND SPIDERS)****ARANEAE****Theraphosidae Red-kneed tarantulas, tarantulas***Aphonopelma  
albiceps**Aphonopelma  
pallidum**Brachypelma* spp.**SCORPIONES****Scorpionidae Scorpions***Pandinus dictator**Pandinus  
gambiensis**Pandinus imperator***CLASS INSECTA****(INSECTS)****COLEOPTERA****Lucanidae Cape stag beetles***Colophon* spp. (South Africa)**Scarabaeidae Scarab beetles***Dynastes satanas***LEPIDOPTERA****Nymphalidae Brush-footed butterflies***Agrias amydon boliviensis*  
(Plurinational State of Bolivia)*Morpho godartii lachaumei*  
(Plurinational State of Bolivia)*Prepona praeneste buckleyana*  
(Plurinational State of Bolivia)**Papilionidae Birdwing butterflies, swallowtail butterflies***Atrophaneura  
jophon**Atrophaneura  
pandiyana**Bhutanitis* spp.

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	<b><i>Ornithoptera</i> spp.</b> (Except the species included in Appendix I)	
	<b><i>Ornithoptera alexandrae</i></b>	
	<b><i>Papilio chikae</i></b>	
	<b><i>Papilio homerus</i></b>	
	<b><i>Papilio hospiton</i></b>	
	<b><i>Parnassius 8Iuspid</i></b>	
	<b><i>Teinopalpus</i> spp.</b>	
	<b><i>Trogonoptera</i> spp.</b>	
	<b><i>Troides</i> spp.</b>	
<b>PHYLUM ANNELIDA</b>		
<b>CLASS HIRUDINOIDEA</b>		
<b>(LEECHES)</b>		
<b>ARHYNCHOBDELLIDA</b>		
<b>Hirudinidae Medicinal leeches</b>		
	<b><i>Hirudo medicinalis</i></b>	
	<b><i>Hirudo 8Iuspida</i></b>	
<b>PHYLUM MOLLUSCA</b>		
<b>CLASS BIVALVIA</b>		
<b>(CLAMS AND MUSSELS)</b>		
<b>MYTILOIDA</b>		
<b>Mytilidae Marine mussels</b>		
	<b><i>Lithophaga lithophaga</i></b>	
<b>UNIONOIDA</b>		
<b>Unionidae Freshwater mussels, pearly mussels</b>		
	<b><i>Conradilla caelata</i></b>	
	<b><i>Cyprogenia aberti</i></b>	
	<b><i>Dromus dromas</i></b>	
	<b><i>Epioblasma curtisi</i></b>	
	<b><i>Epioblasma florentina</i></b>	
	<b><i>Epioblasma sampsonii</i></b>	
	<b><i>Epioblasma 8Iuspida</i></b>	
	<b><i>perobliqua</i></b>	
	<b><i>Epioblasma torulosa gubernaculum</i></b>	
	<b><i>Epioblasma torulosa rangiana</i></b>	
	<b><i>Epioblasma torulosa torulosa</i></b>	
	<b><i>Epioblasma turgidula</i></b>	

<i>Epioblasma 82uspid</i>		
<i>Fusconaia cuneolus</i>		
<i>Fusconaia edgariana</i>		
<i>Lampsilis higginsii</i>		
<i>Lampsilis orbiculata orbiculata</i>		
<i>Lampsilis satur</i>		
<i>Lampsilis virescens</i>		
<i>Plethobasus cicatricosus</i>		
<i>Plethobasus cooperianus</i>		
	<i>Pleurobema clava</i>	
<i>Pleurobema plenum</i>		
<i>Potamilus capax</i>		
<i>Quadrula intermedia</i>		
<i>Quadrula sparsa</i>		
<i>Toxolasma cylindrella</i>		
<i>Unio nickliniana</i>		
<i>Unio tampicoensis tecomatensis</i>		
<i>Villosa trabalis</i>		
<b>VENEROIDA</b>		
<b>Tridacnidae Giant clams</b>		
	<b>Tridacnidae spp.</b>	
<b>CLASS GASTROPODA (SNAILS AND CONCHES)</b>		
<b>MESOGASTROPODA</b>		
<b>Strombidae Queen conch</b>		
	<i>Strombus gigas</i>	
<b>STYLOMMATOPHORA</b>		
<b>Achatinellidae Agate snails, oahu tree snails</b>		
<i>Achatinella spp.</i>		
<b>Camaenidae Green tree snail</b>		
	<i>Papustyla pulcherrima</i>	
<b>PHYLUM CNIDARIA</b>		
<b>CLASS ANTHOZOA (CORALS AND SEA ANEMONES)</b>		
<b>ANTIPATHARIA Black corals</b>		
	<b>ANTIPATHARIA spp.</b>	
<b>GORGONACEAE</b>		
<b>Coralliidae</b>		
		<i>Corallium elatius</i> (China)
		<i>Corallium japonicum</i> (China)

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		<i>Corallium konjoi</i> (China) <i>Corallium secundum</i> (China)
<b>HELIOPORACEA</b>		
<b>Helioporidae Blue corals</b>		
	<b>Helioporidae spp.</b> (Includes only the species <i>Heliopora coerulea</i> . Fossils are not subject to the provisions of the Convention)	
<b>SCLERACTINIA Stony corals</b>		
	<b>SCLERACTINIA spp.</b> (Fossils are not subject to the provisions of the Convention)	
<b>STOLONIFERA</b>		
<b>Tubiporidae Organ-pipe corals</b>		
	<b>Tubiporidae spp.</b> (Fossils are not subject to the provisions of the Convention)	
<b>CLASS HYDROZOA (SEA FERNS, FIRE CORALS AND STINGING MEDUSAE)</b>		
<b>MILLEPORINA</b>		
<b>Milleporidae Fire corals</b>		
	<b>Milleporidae spp.</b> (Fossils are not subject to the provisions of the Convention)	
<b>STYLASTERINA</b>		
<b>Stylasteridae Lace corals</b>		
	<b>Stylasteridae spp.</b> (Fossils are not subject to the provisions of the Convention)	
<b>F L O R A (PLANTS)</b>		
<b>AGAVACEAE Agaves</b>		
<i>Agave parviflora</i>		
	<i>Agave victoriae-reginae</i> #4	
	<i>Nolina interrata</i>	
	<i>Yucca queretaroensis</i>	

<b>AMARYLLIDACEAE Snowdrops, sternbergias</b>		
	<i>Galanthus</i> spp. <a href="#">#4</a>	
	<i>Sternbergia</i> spp. <a href="#">#4</a>	
<b>ANACARDIACEAE Cashews</b>		
	<i>Operculicarya</i> <i>decaryi</i>	
	<i>Operculicarya</i> <i>hyphaenoides</i>	
	<i>Operculicarya</i> <i>pachypus</i>	
<b>APOCYNACEAE Elephant trunks, hoodias</b>		
	<i>Hoodia</i> spp. <a href="#">#9</a>	
	<i>Pachypodium</i> spp. <a href="#">#4</a> (Except the species included in Appendix I)	
	<i>Pachypodium</i> <i>ambongense</i>	
	<i>Pachypodium</i> <i>baronii</i>	
	<i>Pachypodium</i> <i>decaryi</i>	
	<i>Rauvolfia</i> <i>84uspidate84</i> <a href="#">#2</a>	
<b>ARALIACEAE Ginseng</b>		
	<i>Panax ginseng</i> <a href="#">#3</a> Only the population of the Russian Federation; no other population is included in the Appendices)	
	<i>Panax</i> <i>quinquefolius</i> <a href="#">#3</a>	
<b>ARAUCARIACEAE Monkey-puzzle tree</b>		
	<i>Araucaria araucana</i>	
<b>BERBERIDACEAE May-apple</b>		
	<i>Podophyllum</i> <i>hexandrum</i> <a href="#">#2</a>	
<b>BROMELIACEAE Air plants, bromelias</b>		
	<i>Tillandsia harrisii</i> <a href="#">#4</a>	
	<i>Tillandsia kammii</i> <a href="#">#4</a>	
	<i>Tillandsia</i> <i>mauryana</i> <a href="#">#4</a>	
	<i>Tillandsia</i> <i>xerographica</i> <a href="#">#4</a>	
<b>CACTACEAE Cacti</b>		
	<b>CACTACEAE</b> spp. <a href="#">#4</a> (Except the species included in	

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Appendix I and  
except *Pereskia*  
spp., *Pereskopsis*  
spp. And *Quiabentia*  
spp.)

*Ariocarpus* spp.*Astrophytum asterias**Aztekium ritteri**Coryphantha*  
*werdermannii**Discocactus* spp.*Echinocereus**ferreirianus*ssp. *Lindsayi**Echinocereus*  
*schmollii**Escobaria minima**Escobaria sneedii**Mammillaria**pectinifera**Mammillaria**solisioides**Melocactus**conoideus**Melocactus**deinacanthus**Melocactus**glaucescens**Melocactus**paucispinus**Obregonia denegrii**Pachycereus militaris**Pediocactus bradyi**Pediocactus**knowltonii**Pediocactus**paradinei**Pediocactus**peeblesianus**Pediocactus sileri**Pelecyphora* spp.*Sclerocactus**brevihamatus*ssp. *Tobuschii**Sclerocactus**erectocentrus**Sclerocactus glaucus*

<i>Sclerocactus mariposensis</i>		
<i>Sclerocactus mesae-verdae</i>		
<i>Sclerocactus nyensis</i>		
<i>Sclerocactus papyracanthus</i>		
<i>Sclerocactus pubispinus</i>		
<i>Sclerocactus wrightiae</i>		
<i>Strombocactus</i> spp.		
<i>Turbincarpus</i> spp.		
<i>Uebelmannia</i> spp.		
<b>CARYOCARACEAE Ajo</b>		
	<i>Caryocar costaricense</i> <sup>#4</sup>	
<b>COMPOSITAE (Asteraceae) Kuth</b>		
<i>Saussurea costus</i>		
<b>CUCURBITACEAE Melons, gourds, cucurbits</b>		
	<i>Zygosicyos pubescens</i>	
	<i>Zygosicyos tripartitus</i>	
<b>CUPRESSACEAE Alerce, cypresses</b>		
<i>Fitzroya cupressoides</i>		
<i>Pilgerodendron uviferum</i>		
<b>CYATHEACEAE Tree-ferns</b>		
	<i>Cyathea</i> spp. <sup>#4</sup>	
<b>CYCADACEAE Cycads</b>		
	<b>CYCADACEAE</b> spp. <sup>#4</sup> (Except the species included in Appendix I)	
<i>Cycas beddomei</i>		
<b>DICKSONIACEAE Tree-ferns</b>		
	<i>Cibotium barometz</i> <sup>#4</sup>	
	<i>Dicksonia</i> spp. <sup>#4</sup> (Only the populations of the Americas; no other population is included in the Appendices)	
<b>DIDIEREACEAE Alluaudias, didiereas</b>		

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	<b>DIDIEREACEAE</b> spp. <a href="#">#4</a>	
	<b>DIOSCOREACEAE</b> Elephant's foot, kniss	
	<i>Dioscorea deltoidea</i> <a href="#">#4</a>	
	<b>DROSERACEAE</b> Venus' flytrap	
	<i>Dionaea muscipula</i> <a href="#">#4</a>	
	<b>EBENACEAE</b> Ebonies	
	<i>Diospyros</i> spp. <a href="#">#5</a> (Populations of Madagascar)	
	<b>EUPHORBIACEAE</b> Spurges	
	<i>Euphorbia</i> spp. <a href="#">#4</a> (Succulent species only except <i>Euphorbia misera</i> and the species included in Appendix I. Artificially propagated specimens of cultivars of <i>Euphorbia trigona</i> , artificially propagated specimens of crested, fan-shaped or colour mutants of <i>Euphorbia 87uspida</i> , when grafted on artificially propagated root stock of <i>Euphorbia</i> <i>neriifolia</i> , and artificially propagated specimens of cultivars of <i>Euphorbia</i> 'Mili' when they are traded in shipments of 100 or more plants and readily recognizable as artificially propagated specimens, are not	

	subject to the provisions of the Convention)	
<i>Euphorbia ambovombensis</i>		
<i>Euphorbia capsaintemariensis</i>		
<i>Euphorbia cremersii</i> (Includes the <i>forma viridifolia</i> and the var. <i>rakotozafyi</i> )		
<i>Euphorbia cylindrifolia</i> (Includes the ssp. <i>Tuberifera</i> )		
<i>Euphorbia decaryi</i> (Includes the vars. <i>Apanihyensis</i> , <i>robinsonii</i> and <i>spirosticha</i> )		
<i>Euphorbia francoisii</i>		
<i>Euphorbia moratii</i> (Includes the vars. <i>Antsingiensis</i> , <i>bemarahensis</i> and <i>multiflora</i> )		
<i>Euphorbia parvicyathophora</i>		
<i>Euphorbia quartziticola</i>		
<i>Euphorbia tulearensis</i>		
<b>FAGACEAE Beeches</b>		
		<i>Quercus mongolica</i> <sup>#5</sup> (Russian Federation)
<b>FOUQUIERIACEAE Ocotillos</b>		
	<i>Fouquieria columnaris</i> <sup>#4</sup>	
<i>Fouquieria 88uspidate8888</i>		
<i>Fouquieria purpusii</i>		
<b>GNETACEAE Gnetums</b>		
		<i>Gnetum montanum</i> <sup>#1</sup> (Nepal)
<b>JUGLANDACEAE Gavilan</b>		
	<i>Oreomunnea pterocarpa</i> <sup>#4</sup>	
<b>LAURACEAE Laurels</b>		

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	<i>Aniba rosaeodora</i> <a href="#">#12</a>	
<b>LEGUMINOSAE (Fabaceae) Afrormosia, cristobal, palisander, rosewood, sandalwood</b>		
	<i>Caesalpinia echinata</i> <a href="#">#10</a>	
	<i>Dalbergia</i> spp. <a href="#">#5</a> (Populations of Madagascar)	
		<i>Dalbergia calycina</i> <a href="#">#6</a> [Population of Guatemala] (Guatemala)
	<i>Dalbergia cochinchinensis</i> <a href="#">#5</a>	
		<i>Dalbergia cubilquitzensis</i> <a href="#">#6</a> [Population of Guatemala] (Guatemala)
		<i>Dalbergia darienensis</i> <a href="#">#2</a> [population of Panama (Panama)]
		<i>Dalbergia glomerata</i> <a href="#">#6</a> [Population of Guatemala] (Guatemala)
	<i>Dalbergia granadillo</i> <a href="#">#6</a>	
<i>Dalbergia nigra</i>		
	<i>Dalbergia retusa</i> <a href="#">#6</a>	
	<i>Dalbergia stevensonii</i> <a href="#">#6</a>	
		<i>Dalbergia tucurensis</i> <a href="#">#6</a> (Nicaragua. In addition, Guatemala has listed its national population)
		<i>Dipteryx panamensis</i> (Costa Rica, Nicaragua)
	<i>Pericopsis elata</i> <a href="#">#5</a>	
	<i>Platymiscium pleiostachyum</i> <a href="#">#4</a>	
	<i>Pterocarpus santalinus</i> <a href="#">#7</a>	
	<i>Senna meridionalis</i>	
<b>LILIACEAE Aloes</b>		
	<i>Aloe</i> spp. <a href="#">#4</a> (Except the species included in Appendix I. Also excludes <i>Aloe vera</i> , also referenced as <i>Aloe barbadensis</i> which is not	

	included in the Appendices)	
<i>Aloe albida</i>		
<i>Aloe albiflora</i>		
<i>Aloe alfredii</i>		
<i>Aloe bakeri</i>		
<i>Aloe bellatula</i>		
<i>Aloe calcairophila</i>		
<i>Aloe compressa</i> (Includes the vars. <i>Paucituberculata</i> , <i>rugosquamosa</i> and <i>schistophila</i> )		
<i>Aloe delphinensis</i>		
<i>Aloe descoingsii</i>		
<i>Aloe fragilis</i>		
<i>Aloe haworthioides</i> (Includes the var. <i>aurantiaca</i> )		
<i>Aloe helenae</i>		
<i>Aloe laeta</i> (Includes the var. <i>maniaensis</i> )		
<i>Aloe parallelifolia</i>		
<i>Aloe parvula</i>		
<i>Aloe pillansii</i>		
<i>Aloe polyphylla</i>		
<i>Aloe rauhii</i>		
<i>Aloe suzannae</i>		
<i>Aloe versicolor</i>		
<i>Aloe vossii</i>		
<b>MAGNOLIACEAE Magnolia</b>		
		<i>Magnolia liliifera</i> var. <i>90uspida</i> <sup>#1</sup> (Nepal)
<b>MELIACEAE Mahoganies, West Indian cedar</b>		
		<i>Cedrela fissilis</i> <sup>#5</sup> (Plurinational State of Bolivia)
		<i>Cedrela lilloi</i> <sup>#5</sup> (Plurinational State of Bolivia)
		<i>Cedrela odorata</i> <sup>#5</sup> (Brazil and the Plurinational State of Bolivia. In addition, the following countries have listed their national populations: Colombia, Guatemala and Peru)
	<i>Swietenia humilis</i> <sup>#4</sup>	
	<i>Swietenia macrophylla</i> <sup>#6</sup>	

(Populations of the  
Neotropics)

*Swietenia mahagoni*  
[#5](#)

**NEPENTHACEAE Pitcher-plants (Old World)**

*Nepenthes* spp. [#4](#)  
(Except the species  
included in  
Appendix I)

*Nepenthes khasiana*

*Nepenthes rajah*

**OLEACEAE Ashes, etc.**

*Fraxinus*  
*mandshurica* [#5](#) (Russian  
Federation)

**ORCHIDACEAE Orchids**

**ORCHIDACEAE**  
**spp.** [10#4](#) (Except the  
species included in  
Appendix I)

(For all of the  
following Appendix-  
I species, seedling or  
tissue cultures  
obtained *in vitro*, in  
solid or liquid  
media, and  
transported in  
sterile containers are  
not subject to the  
provisions of the  
Convention only if  
the specimens meet  
the definition of  
'artificially  
propagated' agreed  
by the Conference of  
the Parties)

*Aerangis ellisii*

*Dendrobium*  
*cruentum*

*Laelia jongheana*

*Laelia* 91uspid

*Paphiopedilum* spp.

*Peristeria elata*

*Phragmipedium* spp.

*Renanthera*  
*imschootiana*

<b>OROBANCHACEAE Broomrape</b>		
	<i>Cistanche</i>	
	<i>deserticola</i>	<sup>#4</sup>
<b>PALMAE (Arecaceae) Palms</b>		
	<i>Beccariophoenix</i>	
	<i>madagascariensis</i>	<sup>#4</sup>
<i>Chrysalidocarpus</i>		
<i>decipiens</i>		
	<i>Lemurophoenix</i>	
	<i>halleuxii</i>	
		<i>Lodoicea maldivica</i> <sup>#13</sup>
		(Seychelles)
	<i>Marojejya darianii</i>	
	<i>Neodypsis decaryi</i>	<sup>#4</sup>
	<i>Ravenea louvelii</i>	
	<i>Ravenea rivularis</i>	
	<i>Satranala</i>	
	<i>decussilvae</i>	
	<i>Voanioala gerardii</i>	
<b>PAPAVERACEAE Poppy</b>		
		<i>Meconopsis regia</i> <sup>#1</sup> (Nepal)
<b>PASSIFLORACEAE Passion-flowers</b>		
	<i>Adenia</i>	
	<i>firingalavensis</i>	
	<i>Adenia olaboensis</i>	
	<i>Adenia</i>	
	<i>subsessilifolia</i>	
<b>PEDALIACEAE Sesames</b>		
	<i>Uncarina</i>	
	<i>grandidieri</i>	
	<i>Uncarina</i>	
	<i>stellulifera</i>	
<b>PINACEAE Firs and pines</b>		
	<i>Abies guatemalensis</i>	
		<i>Pinus koraiensis</i> <sup>#5</sup> (Russian Federation)
<b>PODOCARPACEAE Podocarps</b>		
		<i>Podocarpus neriifolius</i> <sup>#1</sup> (Nepal)
<i>Podocarpus</i>		
<i>parlatorei</i>		
<b>PORTULACACEAE Lewisias, portulacas, purslanes</b>		
	<i>Anacampseros</i> spp.	
		<sup>#4</sup>
	<i>Avonia</i> spp.	<sup>#4</sup>
	<i>Lewisia serrata</i>	<sup>#4</sup>
<b>PRIMULACEAE Cyclamens</b>		
	<i>Cyclamen</i> spp.	<sup>11 #4</sup>

<b>RANUNCULACEAE</b>	<b>Golden seals, yellow 93uspid, yellow root</b>	
	<i>Adonis vernalis</i> <a href="#">#2</a>	
	<i>Hydrastis</i>	
	<i>93uspidate</i> <a href="#">93 #8</a>	
<b>ROSACEAE</b>	<b>African cherry, stinkwood</b>	
	<i>Prunus 93uspidat</i> <a href="#">#4</a>	
<b>RUBIACEAE</b>	<b>Ayugue</b>	
	<i>Balmea stormiae</i>	
<b>SANTALACEAE</b>	<b>Sandalwoods</b>	
	<i>Osyris</i>	
	<i>93uspidate</i> <a href="#">93#2</a>	
	(Populations of Burundi, Ethiopia, Kenya, Rwanda, Uganda and the United Republic of Tanzania)	
<b>SARRACENIACEAE</b>	<b>Pitcher-plants (New World)</b>	
	<i>Sarracenia</i> spp. <a href="#">#4</a>	
	(Except the species included in Appendix I)	
	<i>Sarracenia oreophila</i>	
	<i>Sarracenia rubra</i>	
	ssp. <i>Alabamensis</i>	
	<i>Sarracenia rubra</i>	
	ssp. <i>Jonesii</i>	
<b>SCROPHULARIACEAE</b>	<b>Kutki</b>	
	<i>Picrorhiza</i>	
	<i>kurroa</i> <a href="#">#2</a> (Excludes <i>Picrorhiza</i> <i>scrophulariiflora</i> )	
<b>STANGERIACEAE</b>	<b>Stangerias</b>	
	<i>Bowenia</i> spp. <a href="#">#4</a>	
	<i>Stangeria eriopus</i>	
<b>TAXACEAE</b>	<b>Himalayan yew</b>	
	<i>Taxus chinensis</i> and infraspecific taxa of this species <a href="#">#2</a>	
	<i>Taxus 93uspidate</i> and infraspecific taxa of this species <a href="#">12#2</a>	
	<i>Taxus 93uspi</i> and infraspecific taxa of this species <a href="#">#2</a>	

*Taxus sumatrana*

and infraspecific  
taxa of this  
species [#2](#)

*Taxus wallichiana*  
[#2](#)

**THYMELAEACEAE (Aquilariaceae) Agarwood, ramin**

*Aquilaria* spp. [#14](#)

*Gonystylus* spp. [#4](#)

*Gyrinops* spp. [#14](#)

**TROCHODENDRACEAE (Tetracentraceae) Tetracentron**

*Tetracentron sinense*<sup>[#1](#)</sup> (Nepal)

**VALERIANACEAE Himalayan spikenard**

*Nardostachys*  
*grandiflora* [#2](#)

**VITACEAE Grapes**

*Cyphostemma*  
*elephantopus*

*Cyphostemma laza*

*Cyphostemma*  
*montagnacii*

**WELWITSCHIACEAE Welwitschia**

*Welwitschia*  
*mirabilis* [#4](#)

**ZAMIACEAE Cycads**

**ZAMIACEAE** spp.  
[#4](#) (Except the  
species included in  
Appendix I)

*Ceratozamia* spp.

*Chigua* spp.

*Encephalartos* spp.

*Microcycas calocoma*

**ZINGIBERACEAE Ginger lily**

*Hedychium*  
*philippinense* [#4](#)

**ZYGOPHYLLACEAE Lignum-vitae**

*Bulnesia sarmientoi*  
[#11](#)

*Guaiacum* spp. [#2](#)

**TRADE IN ENDANGERED SPECIES REGULATIONS**

ARRANGEMENT OF REGULATIONS

PART 1

PRELIMINARY

REGULATIONS

1. Short title
2. Interpretation

PART 2

FORMS AND FEES

3. Form of application for permit or certificate
4. Form of permit or certificate
5. Form of identification card
6. Fees

PART 3

DETERMINATIONS RELATIVE TO APPLICATIONS FOR  
PERMITS AND CERTIFICATES

7. Determination of legal acquisition
8. Determination of non-detriment
9. Determination of “primarily commercial purposes”
10. Determination of suitability to house and care for live specimen

SCHEDULE 1: APPLICATION FOR A PERMIT TO EXPORT, RE-EXPORT, IMPORT OR INTRODUCE SPECIMENS FROM THE SEA

SCHEDULE 2: MONTSERRAT PERMIT/CERTIFICATE

SCHEDULE 3: FORM OF IDENTIFICATION CARD

SCHEDULE 4: APPLICATION FEES FOR MONTSERRAT CITES PERMIT OR CERTIFICATE



**TRADE IN ENDANGERED SPECIES REGULATIONS – SECTION 63***(S.R.O. 10/2022)***Commencement***[31 January 2022]***PART 1****PRELIMINARY****Short title**

1. These Regulations may be cited as the Trade in Endangered Species Regulations.

**Interpretation**

2. In these Regulations—

“Act” means the Trade in Endangered Species Act 2016.

**PART 2****FORMS AND FEES****Form of application for permit or certificate**

3. A person who wishes to trade in a specimen of an Appendix I, II or III species into or out of Montserrat for which a permit or certificate is required under the Act, shall apply to the Management Authority in the form prescribed in Schedule 1.

**Form of permit or certificate**

4. The Management Authority shall issue a permit or certificate with respect to an application under regulation 4, in the form prescribed in Schedule 2.

**Form of identification card**

5. The Minister shall issue an identification card to an authorised officer in the form prescribed in Schedule 3.

**Fees**

6. A person shall pay the relevant fee prescribed under Schedule 4 for—

(a) an application under regulation 4; and

(b) a service provided for under the Act or these Regulations.

## PART 3

DETERMINATIONS RELATIVE TO APPLICATIONS FOR  
PERMITS AND CERTIFICATES**Determination of legal acquisition**

7. In order to determine whether a specimen of an Appendix I, II or III species was legally acquired, an applicant shall submit to the Management Authority sufficient information to satisfy the Management Authority that the specimen was obtained in accordance with the laws of Montserrat which provide for the protection of animals and plants.

**Determination of non-detriment**

8. The exportation or introduction from the sea of a specimen of an Appendix I or II species is not detrimental to the survival of the species and the importation of an Appendix I specimen is not detrimental to the survival of the species if the Scientific Authority is satisfied that—

- (a) biological and management information demonstrates that the proposed importation, exportation or introduction from the sea of the specimen provides for the sustainable use of the specimen;
- (b) the removal of the specimen from the wild is part of a biologically based sustainable use management plan designed to eliminate the overutilisation of the species;
- (c) if no sustainable use management plan is established, the removal of the specimen from the wild will not contribute to the overutilisation of the species;
- (d) the importation, exportation or introduction from the sea of the specimen—
  - (i) will not lead to significant habitat or range loss or restriction;
  - (ii) will not lead to a long-term decline that places the viability of the affected population of the species in question;
  - (iii) in the case of a specimen of an Appendix II species, will not cause a risk that the species qualifies for inclusion in Appendix I; and
  - (iv) in the case of a specimen of an Appendix I species, will not—
    - (A) cause an increased risk of extinction for the entire species or the population from which the specimen was obtained;
    - (B) interfere with the recovery of the species; or
    - (C) lead to a decline of the species if it stimulates additional trade in the species.

**Determination of “primarily commercial purposes”**

9. To determine whether the non-commercial aspects of an activity do not clearly predominate for the purposes of the definition of “**primarily commercial purposes**” under the Act, the Management Authority shall consider—

- (a) whether the importer is a for-profit entity, a non-profit entity or an individual;
- (b) the level of public appeal of the species;
- (c) the intended use of offspring;
- (d) the ability of the proposed uses of the specimen to generate revenue;
- (e) the occurrence of the species in a controlled environment in Montserrat; and
- (f) revenue and other economic value anticipated to result from the use of a specimen including—
  - (i) the proposed use of net profits generated in Montserrat;
  - (ii) the anticipated funding of a conservation project and if the specimen was or is to be taken from the wild, the way in which the conservation project benefits the species in its native range; and
  - (iii) plans to monitor a proposed conservation project.

**Determination of suitability to house and care for live specimen**

10. (1) The recipient of a live specimen is suitably equipped to house and care for the specimen, if the appropriate Authority is satisfied that—

- (a) the enclosure or holding area for the specimen is adequate to prevent the escape of the specimen or an unplanned exchange of genetic material with specimens of the same or different species outside the enclosure or holding area;
- (b) the recipient has security measures in place that are adequate to prevent the theft of the specimen;
- (c) the recipient has a reasonable survival rate of specimens of the same species or closely related species having regard to—
  - (i) the number of births or plants propagated;
  - (ii) the mortality of specimens for the previous three years, if applicable;
  - (iii) the occurrence of significant outbreaks of diseases that are permanently debilitating or recurring during the previous three years; and
  - (iv) the measures the recipient has taken to prevent similar mortalities, damage or outbreaks of diseases;

- (d) the recipient has or will have sufficient funding to maintain—
  - (i) the specimen; and
  - (ii) the facility in which the specimen will be kept;
- (e) in the case of a live animal—
  - (i) the recipient constructs and maintains an enclosure to provide sufficient space to allow the animal to make normal postural and social adjustments with adequate freedom of movement;
  - (ii) the recipient provides for the animal appropriate forms of environmental enrichment including nesting materials, perches, climbing apparatus, ground substrate or other species specific materials;
  - (iii) if the animal is on public display, the recipient provides for an off-exhibit area, consisting of indoor and outdoor accommodation, as appropriate, that can house the animal for a long term if necessary;
  - (iv) the recipient provides adequate water and food that is appropriate for the species;
  - (v) the recipient and staff are trained and experienced in providing proper daily care and maintenance for the species or a closely related species;
  - (vi) the recipient has access to ready available veterinary care for the animal or veterinary staff experienced with the species or a closely related species; and
- (f) in the case of a live plant—
  - (i) the recipient provides sufficient space, appropriate lighting and other environmental conditions that will ensure proper growth and reproduction of the plant;
  - (ii) the recipient has the ability to provide appropriate culture for the plant including water, fertilizer and pest and disease control; and
  - (iii) recipient and staff are trained and experienced with handling the imported species or related species with similar horticultural requirements.

**(2)** For the purposes of making a determination under subregulation (1), an applicant shall submit to the appropriate Authority sufficient information including—

- (a) a description of the facility in which a specimen is to be kept accompanied by construction plans and photographs of the facility, if any; and
- (b) the curriculum vitae of the recipient of the specimen.

**(3)** If a person makes an application that requires the appropriate Authority to make a determination under subregulation (1) before the facility to keep the specimen is completed or staff is identified or properly trained, the appropriate Authority may—

- (a) review all available information, including construction plans or intended staffing and make a determination based on this information; and
  - (b) include a condition under the permit or certificate that the specimen may be imported or introduced from the sea only if—
    - (i) the facility under which the specimen is to be kept is completed; or
    - (ii) staff is adequately trained.
- (4) In this regulation, “**appropriate Authority**” means—
- (a) in the case of the importation of a live specimen of an Appendix I species, the Scientific Authority; and
  - (b) in the case of the introduction from the sea of a live specimen of an Appendix I, II or III species, the Management Authority.
-

**SCHEDULE 1***(Regulation 4)***APPLICATION FOR A PERMIT TO EXPORT, RE-EXPORT,  
IMPORT OR INTRODUCE SPECIMENS FROM THE SEA****CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED  
SPECIES OF WILD FAUNA AND FLORA  
MONTSERRAT**

TRADE IN ENDANGERED SPECIES ACT 2016

Application for permit to export, re-export, import or introduce from the sea  
specimens of animals or plants or derivatives of animals or plants

**NOTES:**

- An incomplete application will result in a delay in processing. If you need help please contact the Department of Environment.
- Print the information clearly. An illegible application may cause a delay in processing.
- After receipt of a complete application, processing may take up to 20 working days.
- The Trade in Endangered Species Act 2016 provides penalties for knowingly making a false or misleading statement in this application.
- In all cases original documents must accompany this application.
- **FOR DETAILED INSTRUCTIONS ON HOW TO FILL OUT THIS APPLICATION SEE SECTION H (INSTRUCTIONS)**

**CHECKLIST****Permit to import**

- Completed application.
- Application has been certified by person authorised to take statutory declaration.
- Fee (See Trade in Endangered Species Regulations 2022 for fee structure).

**Permit to export or re-export**

- Completed application.
- Application has been certified by person authorised to take statutory declaration.
- For re-exports, proof of legal import into Montserrat and/or legal export from country of origin.
- Fee (See Trade in Endangered Species Regulations 2022 for fee structure).

**Pre-Convention certificate**

- Completed application.
- Application has been certified by person authorised to take statutory declaration.
- Evidence of age of specimen e.g. copies of receipts, sworn affidavit, family will - please contact the Department of Environment for more details.
- Fee (See Trade in Endangered Species Regulations 2022 for fee structure).



CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED  
SPECIES OF WILD FAUNA AND FLORA



MONTERRAT

TRADE IN ENDANGERED SPECIES ACT 2016

SECTION A - Application type:

<input type="checkbox"/> IMPORT <input type="checkbox"/> EXPORT <input type="checkbox"/> RE-EXPORT <input type="checkbox"/> INTRODUCTION FROM THE SEA	Pre-Convention specimen? <input type="checkbox"/> NO <input type="checkbox"/> YES _____ (Date acquired)
--	---

SECTION B - Name and address

<u>Exporter or re-exporter</u>	<u>Importer</u>
Name:	Name:
Organisation (if applicable):	Organisation (if applicable):
Postal Address:	Postal Address:
Telephone number:	Telephone number:
Fax number:	Fax number:
Email Address:	Email Address:

SECTION C- Purpose of trade

- |  |     |
|--|-----|
| <input type="checkbox"/> COMMERCIAL                                      | (T) |
| <input type="checkbox"/> PERSONAL  | (P) |
| <input type="checkbox"/> SCIENTIFIC                                      | (S) |
| <input type="checkbox"/> HUNTING TROPHY                                  | (H) |
| <input type="checkbox"/> EDUCATIONAL                                     | (E) |
| <input type="checkbox"/> BREEDING IN CAPTIVITY OR ARTIFICIAL PROPAGATION | (B) |
| <input type="checkbox"/> ZOO   | (Z) |
| <input type="checkbox"/> BOTANICAL GARDEN                                | (G) |
| <input type="checkbox"/> TRAVELLING EXHIBITION                           | (Q) |
| <input type="checkbox"/> BIOMEDICAL RESEARCH                             | (M) |
| <input type="checkbox"/> LAW ENFORCEMENT                                 | (L) |
| <input type="checkbox"/> REINTRODUCTION INTO THE WILD                    | (N) |



CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA



MONTSERAT

TRADE IN ENDANGERED SPECIES ACT 2016

SECTION D - Shipment details:

<p>How will the shipment be sent?</p> <p><input type="checkbox"/> AIR</p> <p><input type="checkbox"/> SEA</p> <p><input type="checkbox"/> POST</p> <p><input type="checkbox"/> ACCOMPANIED BAGGAGE</p>	<p>Date of proposed shipment</p> <p>    /    /</p> <p>Day  Month  Year</p> <p>Montserrat port of entry or exit</p> <p>.....</p> <p>Overseas port of entry or exit</p> <p>.....</p> <p>.....</p>	<p>Other consignment details (no. of containers, packing marks, name of airline, etc.</p>
--	---	---

SECTION E – Specimen details

<p>Scientific name of species:</p> <p>.....</p> <p>Common name of species:</p> <p>.....</p> <p>Description of specimen:</p> <p>LIVE ANIMAL:</p> <p><input type="checkbox"/> MALE</p> <p><input type="checkbox"/> FEMALE</p> <p><input type="checkbox"/> WILD CAUGHT</p> <p><input type="checkbox"/> CAPTIVE BRED</p> <p><input type="checkbox"/> UNKNOWN</p> <p>Animal part [ ] .....</p> <p>Animal product [ ] .....</p> <p>LIVE PLANT [ ]</p> <p><input type="checkbox"/> Plant part .....</p> <p><input type="checkbox"/> Plant product .....</p> <p><input type="checkbox"/> Other information .....</p>	<p>Quantity/unit/weight .....</p> <p>CITIES Appendix: .....</p> <p>Source: .....</p> <p>Fill in if re-exporting:</p> <p>Country of origin: .....</p> <p>Permit/Certificate number: .....</p> <p>Issuing Authority: .....</p> <p>Date of issue: .....</p> <p>Fill in if re-exporting:</p> <p>Country of origin: .....</p> <p>Permit/Certificate number: .....</p> <p>Issuing Authority: .....</p> <p>Date of issue: .....</p>
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**CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED  
SPECIES OF WILD FAUNA AND FLORA**



**MONTSERRAT**

**TRADE IN ENDANGERED SPECIES ACT 2016**

**Section F - Origin and Legality**

**Table 1**

Caught in the wild	
Location of capture/removal	Date of capture/removal

**Table 2**

Captive-bred/artificially propagated				
Specimen (name and ID number)	Date of birth	Date acquired	Information about parentage (origin of breeding pair, etc.)	Contact information for supplier/captive- breeding / artificial propagation operation

**Table 3**

Unknown		
Acquisition date	Context of acquisition (purchase, gift received, etc.)	Country of acquisition



CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED  
SPECIES OF WILD FAUNA AND FLORA



MONTSERRAT

TRADE IN ENDANGERED SPECIES ACT 2016

SECTION G - DECLARATION:

I ....., declare that:

- (a) the particulars provided by me in this application for a permit or certificate under the Trade in Endangered Species Act 2016 are true and correct to the best of my knowledge, information and belief;
- (b) in the case of a live animal, the animal will be prepared and shipped in accordance with the current edition of the International Air Transport Association Live Animals Regulations; and
- (c) in the case of a specimen for personal use, the specimen will not be used for commercial purposes and shall remain as my personal property.

Sworn/Affirmed ) .....  
 at ) Director/Secretary/Owner/Partner  
 this day of )  
 20 )

Before me:

.....  
 Justice of the Peace/ Commissioner of Oaths



**CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA**



**MONTSERRAT**

**TRADE IN ENDANGERED SPECIES ACT 2016**

**SECTION H (INSTRUCTIONS):**

**Section A-Application type**

1. Check whether the application is for a permit to import, export, re-export or introduce from the sea.
2. Indicate whether the specimen is considered "Pre-Convention." A Pre-Convention specimen is a specimen that was acquired before the species was included on CITIES. Please contact the Department of Environment for more information.

**Section B-Name and address**

Please fill in as much detail as possible for both the exporter/re-exporter and importer fields. For permits to import, the "Exporter" is an overseas name and address, and the Importer is a Montserrat name and address. For permits to export or re-export, the "Exporter" or "Re-exporter" is a Montserrat name and address and the "Importer" is an overseas name and address.

**Section C-Purpose of Trade**

Please indicate the purpose of trade. If you have any questions please contact the Department of Environment.

**Section D-Shipment details**

Please provide as much detail on the consignment as possible.

**Section E-Specimen details**

1. Scientific name: Please use the Latin name i.e. genus and species nomenclature.
2. Common name: As known in Montserrat or the country of export.
3. Description of specimen: Please provide as much detail as possible. For a live specimen, please fill in Section F also. Other information may include: export quota numbers, band number, scientific transfer exemption label number etc.
4. Quantity/unit/weight: Actual number, weight or volume.
5. CITIES Appendix: Appendix I, II or III. Please contact the Department Environment if you do not know this information.
6. Source:

W - Wild	C - Animals bred in captivity	R - From a ranching operation
U - Unknown (must be justified)	A - Plants that are artificially propagated	O - Pre-Convention

Please contact the Department of Environment if you require more information about this section.

7. If re-exporting: Complete this section if you intend to re-export a specimen that has previously been imported into Montserrat. Please attach proof of legal importation (e.g. Montserrat permit to import) or proof of legal export (e.g. export permit from country of origin).

**Section F-Origin and legality**

1. This section is to determine the source of the specimen with as much detail as possible.
2. Fill in Table 1 if the specimen came from the wild etc.
3. Fill in Table 2 if the specimen comes from captive breeding.
4. Fill in Table 3 if the origin of the specimen is unknown. Fill in to the best of your knowledge.



**Section G-Declaration**

1. Fill in your name, sign and date the declaration in the sections: "Name of applicant", "Signature of applicant", "Declared at", and "Date".
2. All application forms must be certified by a person authorised to take a statutory declaration.

SCHEDULE 2

(Regulation 5)

MONTSERRAT PERMIT/CERTIFICATE

 <b>CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA</b>				<b>PERMIT/CERTIFICATE No.</b> <input type="checkbox"/> EXPORT <input type="checkbox"/> RE-EXPORT <input type="checkbox"/> IMPORT <input type="checkbox"/> OTHER:		<b>Original</b>  2. Valid until	
1. Importer (name and address)			4. Exporter/re-exporter (name, address and country)				
3a. Country of import			Signature of the applicant				
5. Special conditions  <i>For live animals, this permit or certificate is only valid if the transport conditions conform to the CITES Guidelines for transport or, in the case of air transport, to the IATA Live Animals Regulations</i>			6. Name, address, national seal/stamp and country of Management Authority				
5a. Purpose of the transaction (see reverse)		5b. Security stamp no.					
7.8. Scientific name (genus and species) and common name of animal or plant		9. Description of specimens, including identifying marks or numbers (age/sex, if live)		10. Appendix no. and source (see reverse)	11. Quantity (including unit)	11a. Total exported/Quota	
A	7.8.	9.		10.		11.	11a.
	12.*	Country of origin	Permit no.	Date	12a. Country of last re-export	Certificate no.	Date
B	7.8.	9.		10.		11.	11a.
	12.*	Country of origin	Permit no.	Date	12a. Country of last re-export	Certificate no.	Date
C	7.8.	9.		10.		11.	11a.
	12.*	Country of origin	Permit no.	Date	12a. Country of last re-export	Certificate no.	Date
D	7.8.	9.		10.		11.	11a.
	12.*	Country of origin	Permit no.	Date	12a. Country of last re-export	Certificate no.	Date
* Country in which the specimens were taken from the wild, bred in captivity or artificially propagated (only in case of re-export) ** Only for specimens of Appendix-I species bred in captivity or artificially propagated for commercial purposes *** For pre-Convention specimens							
13. This permit/certificate is issued by:  Place _____ Date _____ Security stamp, signature and official seal _____							
14. Export endorsement:				15. Bill of Landing/Air waybill number:			
Block	Quantity	Port of export _____ Date _____ Signature _____ Official stamp and title _____					
A							
B							
C							
D							

## Instructions and explanations

(These correspond to block numbers on the form)

1. Tick the square which corresponds to the type of document issued (export permit, re-export certificate, import permit or other). If the box "other" has been ticked, the type of document must be indicated. The original number is a unique number allocated to each document by the Management Authority.
2. For export permits and re-export certificates, the date of expiry of the document may not be more than six months after the date of issuance (one year for import permits).
3. **Complete** name and address of the importer.
- 3a. The name of the country must be written in full.
4. **Complete** name and address of the exporter/re-exporter. The name of the country must be stated. The absence of the signature of the applicant renders the permit or certificate invalid.
5. Special conditions may refer to national legislation or special conditions placed on the shipment by the issuing Management Authority. This block can also be used to justify the omission of certain information.
- 5a. The following codes should be used: **T** for commercial, **Z** for zoos, **G** for botanical gardens, **Q** for circuses and travelling exhibitions, **S** for scientific purposes, **H** for hunting trophies, **P** for personal, **M** for medical, **E** for education, **N** for reintroduction or introduction into the wild, and **B** for breeding in captivity or artificial propagation, **L** for law enforcement / judicial / forensic.
- 5b. Indicate the number of the security stamp affixed in block 13.
6. The name, address and country of the issuing Management Authority should already be printed on the form.
- 7-8. Indicate the scientific name (genus and species, where appropriate subspecies) of the animal or plant as it appears in the Convention Appendices or the reference lists approved by the Conference of the Parties, and the common name of the animal or plant as known in the country issuing the permit.
9. Describe, as precisely as possible, the specimens entering trade (live animals, skins, flanks, wallets, shoes, etc.). If a specimen is marked (tags, identifying marks, rings, etc.), whether or not this is required by a Resolution of the Conference of the Parties (specimens originating in a ranching operation, specimens subject to quotas approved by the Conference of the Parties, specimens of Appendix I species bred in captivity for commercial purposes, etc.), indicate the number and type of mark. The sex and age of the live animals should be recorded, if possible.
10. Enter the number of the Appendix of the Convention (I, II or III) in which the species is listed.  
Use the following codes to indicate the source:
  - W** Specimens taken from the wild
  - R** Specimens originating from a ranching operation
  - D** Appendix I animals bred in captivity for commercial purposes and Appendix I plants artificially propagated for commercial purposes, as well as parts and derivatives thereof, exported under the provisions of Article VII, paragraph 4, of the Convention
  - A** Plants that are artificially propagated in accordance with Resolution Conf. 11.11 (Rev. CoP14), paragraph a), as well as parts and derivatives thereof, exported under the provisions of Article VII, paragraph 5 (specimens of species included in Appendix I that have been propagated artificially for non-commercial purposes and specimens of species included in Appendices II and III)
  - C** Animals bred in captivity in accordance with Resolution Conf. 10.16 (Rev.), as well as parts and derivatives thereof, exported under the provisions of Article VII, paragraph 5 (specimens of species included in Appendix I that have been bred in captivity for non-commercial purposes and specimens of species included in Appendices II and III)
  - F** Animals born in captivity (F1 or subsequent generations) that do not fulfil the definition of 'bred in captivity' in Resolution Conf. 10.16 (Rev.), as well as parts and derivatives thereof
  - U** Source unknown (**must be justified**)
  - I** Confiscated or seized specimens
  - O** Pre-Convention (may be used with other source codes).
11. The quantity and units indicated should conform to the most recent version of the *Guidelines for the preparation and submission of CITES annual reports*.
- 11a. Indicate the total number of specimens exported in the current calendar year (1 January to 31 December) (including those covered by the present permit) and the current annual quota for the species concerned (for example 500/1000). This should be done for the national quotas as well as for those determined by the Conference of the Parties.
12. The country of origin is the country in which the specimens were taken from the wild, bred in captivity or artificially propagated, except in the case of plant specimens that cease to qualify for an exemption from the provisions of CITES. In such instances, the country of origin is deemed to be the country in which the specimens ceased to qualify for the exemption. Indicate the number of the permit or certificate of the exporting country and the date of issuance. If all or part of the information is not known, this should be justified in block 5. This block must only be completed in case of re-exports;
- 12a. The country of last re-export is the country from which the specimens were re-exported before entering the country in which the present document is issued. Enter the number of the re-export certificate of the country of last re-export and its date of issuance. If all or part of the information is not known, this should be justified in block 5. This block must only be completed in case of re-export of specimens previously re-exported.
- 12b. The "No. of the operation" is the number of the registered captive-breeding or artificial propagation operation. The "date of acquisition" is defined in Resolution Conf. 13.6 and is required only for pre-Convention specimens.
13. To be completed by the official who issues the permit. The name of the official must be written in full. The security stamp must be affixed in this block and must be cancelled by the signature of the issuing official and a stamp or seal. The seal, signature and security-stamp number should be clearly legible.
14. To be completed by the official who inspects the shipment at the time of export or re-export. Enter the quantities of specimens actually exported or re-exported. Strike out the unused blocks.
15. Enter the number of the bill of lading or air waybill if the method of transport used requires the use of such a document.

**The document must be written in one of the three working languages of the Convention (English, Spanish or French) or must include a full translation into one of these three languages. Exported and re-exported specimens should not appear on the same document unless it is clearly indicated which specimens are being exported and which re-exported.**

AFTER USE THIS DOCUMENT MUST BE RETURNED TO A MANAGEMENT AUTHORITY OF THE IMPORTING COUNTRY.

**SCHEDULE 3***(Regulation 6)**(front)*

<b>AUTHORISED OFFICER IDENTIFICATION CARD</b>			
<b>PHOTO</b>	<b>Name:</b>		<b>Identification Card No.</b>
			<b>First issue</b> <b>Renewal</b> <b>Temporary</b> <i>(Indicate whether first issue, renewal or temporary)</i>
	<b>Address:</b>		<b>Nationality:</b>
	<b>Date of Birth:</b> <i>(dd/mm/yyyy)</i>		<b>Sex:</b>
	<b>Qualifications (if any):</b>		
..... <b>Signature of Cardholder</b>		<b>Date of Issue:</b> <i>(dd/mm/yyyy)</i>	<b>Expiry date:</b> <i>(dd/mm/yyyy)</i>
<b>Issued under section 41 of the Trade in Endangered Species Act 2016 (No. 10 of 2016)</b>			

\* *Delete if not applicable*

*(back)*

<p>This card is the property of the <b>Government of Montserrat</b>.</p> <p>If found, please return card to: Department of Environment Ministry of Agriculture, Lands, Housing and the Environment P.O. Box 272 Brades MSR110 Montserrat Telephone: (664) 491-9278</p> <p>-----</p>	<p>.....</p> <p>Permanent Secretary, Ministry responsible for the Environment</p> <p>(Affix stamp or seal)</p>
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**SCHEDULE 4***(Regulation 7)***APPLICATION FEES FOR MONTSERRAT CITES  
PERMIT OR CERTIFICATE**

<b>COLUMN 1</b>	<b>COLUMN 2</b>
<b>Type of permit or certificate</b>	<b>Fee ECS</b>
Import permit	25.00
Export permit	50.00
Re-export permit	50.00
Certificate of introduction from the sea	50.00
Pre-Convention certificate	25.00
Certificate of captive breeding	150.00
Certificate of artificial propagation	150.00
Certificate of origin	50.00
Travelling exhibition certificate	50.00
Licencing of commercial breeding operation	150.00
Licensing of artificial propagation operation	150.00
Licensing of scientific institution	150.00
Replacement of lost, stolen or damaged permit, certificate or licence	50.00